

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND TWENTY-SEVENTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, December 6, 1996

The Board of Trustees met at its regular monthly meeting on Friday, December 6, 1996, at The Ohio State University Fawcett Center for Tomorrow, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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The Chairman, Mr. Wexner, called the meeting of the Board of Trustees to order on December 6, 1996, at 11:00 a.m. He requested the Secretary to call the roll.

Present: Leslie H. Wexner, Chairman, Alex Shumate, Theodore S. Celeste, George A. Skestos, David L. Brennan, James F. Patterson, Tamala Longaberger Kaido, Holly A. Smith, and Mark Berkman. Fred L. Dailey, Director of the Ohio Department of Agriculture, was also in attendance.

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PRESIDENT'S REPORT

President Gee:

Ladies and gentlemen, let me just note that, today is the final day of classes for Autumn Quarter, and we have finished the quarter with a flourish! We celebrated the seventh anniversary of the Wexner Center for the Arts with an extraordinary celebration that included the costume designs of Edith Head. It was an evening of high fashion and generous spirit. The occasion raised some \$1.6 million for the Center, and we thank our chairman for his leadership in that effort.

Also in November, I helped the Ohio Parents for Drug Free Youth kick off a statewide campaign responding to alcohol-related problems on college and university campuses -- in particular, issues related to binge drinking. It is my view that we must not accept these serious problems as an inevitable part of college life. Rather, we must work to change the culture and support responsible decision making on the part of our students.

The Buckeye Spirit Week prior to the Ohio State-Michigan game focused on responsible celebrations. The Office of Student Life and a number of student organizations planned special events that were entertaining and spirit-raising. From the Blood Battle between our universities, in which the winner was the Red Cross Blood Bank -- and Ohio State, I might add -- to the more than 20,000 people who attended the Senior Tackle, to the free Pancake Breakfast in Larkins Hall before the game, thousands of students and community members came together with Buckeye Pride. We were very pleased with the conduct of our students. The entire week went well -- until the second half of the darn game!

Let me remind all of you, however, that the Buckeyes share the Big Ten Championship and are headed for the Rose Bowl! Our team and players continue to receive national recognition. Last evening, as you know, for the first time in history, a player has been named the Lombardi Trophy winner for two years in a row, Orlando Pace -- a small, petite guy. We call him "Pasadena Pace," as you well know. I add my congratulations on achieving many of our goals and certainly on playing in Pasadena.

We also enjoyed an excellent season in women's volleyball. The team earned an invitation to the NCAA tournament, which begins tomorrow. Vanessa Wouters of Westmalle, Belgium, in her first year at Ohio State, was named Big Ten Volleyball Player of the Year.

Ohio State is one of thirteen Division 1 schools to receive NCAA athletic certification. The team of external evaluators and our self-study committee thoroughly reviewed the operations of the department. The certification ensures integrity in athletic operation and the review process helps improve the department and its programs. I congratulate Vice President Williams, Director Geiger, and the Athletic Council on this accomplishment.

Ohio State Pride is evident in many places, as I noted on recent visits with our alumni and friends in California, Georgia, Florida, and South Carolina. While in

PRESIDENT'S REPORT (contd)

President Gee: (contd)

Atlanta, I had the privilege of participating in a roundtable at Morehouse College. The dialogue with very able students regarding the role of higher education in this country was stimulating. And, I hope I persuaded a few of these fine students to consider Ohio State for a graduate program or professional degree!

Jun Zhao, a graduate student in chemistry, has won honorable mention in the sixth annual BF Goodrich Collegiate Inventors Program. He devised a new type of spectrometer to analyze and identify chemicals. The National Inventors Hall of Fame in Akron administers the collegiate program spotlighting the best student inventions in the United States. This is the fourth time in six years that an Ohio State student has won an award in this competition.

In the spirit of the season, university family members came together on Thanksgiving Day to serve a free holiday dinner at the Hale Center. More than 100 students who were unable to go home for the holiday enjoyed fellowship and a great dinner.

Students in a program called "Dare to Care" are packing 300 boxes of non-perishable foods, letters, and Ohio State items for Ohio soldiers stationed in Bosnia during the holidays. The university family responded generously to the United Way campaign. Service to others continues to be a hallmark of this university.

As we close the year, I want to mark the departure of some of Ohio State's great friends and recognize their public service. Three retiring members of the Ohio Board of Regents will receive letters of commendation from this Board: Paul Dutton, Ralph Frasier, and Ray Sawyer. These Regents gave generously of their time and talent to higher education in Ohio, and we publicly acknowledge their commitment to quality education.

I also want to note that the President of the Ohio Senate, the Honorable Stanley Aronoff, has retired after a very distinguished career in public service. I am very proud that the first honorary degree I presented as president of this university was to Stan Aronoff. His commitment to higher education and his continued support for this university are worthy of the commendation he will receive from this Board.

Finally, I want to note that Vice President for Human Resources Linda Tom has announced her retirement -- though Linda is in no way, shape or form old enough to do so! This is the last Board meeting Linda will attend as Vice President. Linda and her husband are moving to the red neck Riviera in Alabama, and she is rethinking that as I speak.

But, nonetheless, I must say that in my six years as university president, I have had great joy in working with Linda, she has done a remarkable job in moving this university forward, and its human caring and human resources programs are among the best in the country. Linda, we are all grateful for your service and for your friendship I cherish. Ladies and gentlemen, let's give her a round of applause.

Mr. Chairman, that concludes my report.

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Mr. Wexner:

Unfortunately, I have a conflict in my schedule and I'll turn into a pumpkin at 11:30 a.m. if the Board meeting isn't over, but I'll quietly pass the gavel to Alex Shumate to finish the meeting. So if I get up and leave, everybody will be advised.

Mr. Wexner: (contd)

Alex and I were talking last night and he said that we enjoy a wonderful relationship - which we do -- and that in our relationship, the transition from my being Chairman of the Board to Alex being Chairman of the Board would be seamless and you'll see a demonstration of that perhaps at 11:30 a.m. But it reminded me that this is -- although it's the end of the quarter -- my midterm, having endured me for six months and with six to go, I want to thank the Trustees and the staff of the University, particularly Gordon.

Since I may leave before the meeting is over, I would like to wish everybody a healthy and happy new year. Thank you.

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HOSPITALS BOARD REPORT

Mr. Skestos:

The Strategic Planning Committee and the Hospitals Board convened as a combined meeting on November 14, 1996 on the Harding Hospital campus. The Hospitals Board received an update on Columbus health consumer preference and the market place which included a number of multi-media video television spots soon to be released by the Hospitals as a part of its marketing initiatives.

The Hospitals Board also reviewed a status report on the OSU Hospitals/Harding Hospital joint venture. The report covered the first eight months of 1996 and included a synopsis of the current financial arrangement between OSU Hospitals and Harding Hospital; the challenges of organizing a single integrated program; and future initiatives.

MedOhio Health, Inc. requested a loan in the amount of \$550,000 to further support the activities under the umbrella of the corporation. A motion was made and seconded to recommend for approval to the University Board of Trustees.

At this time, Mr. Chairman, I would like to move for approval a resolution found in the Trustees' books which deal with the Home Health Care program:

HOME HEALTH CARE PROGRAM (AGREEMENT WITH MEDOHIO HEALTH, INC.)

Resolution No. 97-57

WHEREAS in February 1995, the Board of Trustees authorized The Ohio State University and its Medical Center to enter into an agreement with MedOhio Health, Inc. for the provision of home health care program for patients served by the Medical Center; and

WHEREAS MedOhio Health, Inc., has developed and implemented a home health care program for the patients served by the Medical Center; and

WHEREAS MedOhio Health, Inc., has requested an additional loan of \$550,000 to further assist in the early stages of development of the program; and

WHEREAS the University Hospitals Board approved loaning this additional amount to MedOhio Health, Inc., for use in the home health care program:

NOW THEREFORE

**HOME HEALTH CARE PROGRAM
(AGREEMENT WITH MEDOHIO HEALTH, INC.) (contd)**

BE IT RESOLVED, That the Board of Trustees authorizes the University to loan MedOhio Health, Inc., up to \$550,000 as additional funding for the implementation of the home health care program.

Upon motion of Mr. Skestos, seconded by Mr. Celeste, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Wexner, Shumate, Celeste, Skestos, Brennan, and Patterson, and Ms. Longaberger Kaido.

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CANCER HOSPITAL REPORT

Mr. Skestos:

I would like to present for approval a resolution to the Board concerning the amendments to the Bylaws of the Medical Staff of The Arthur G. James Cancer Hospital and Research Institute:

**AMENDMENTS TO THE BYLAWS OF THE MEDICAL STAFF
THE ARTHUR G. JAMES CANCER HOSPITAL AND RESEARCH INSTITUTE**

Resolution No. 97-58

Synopsis: The amendments to Bylaws of the Medical Staff of The Arthur G. James Cancer Hospital and Research Institute are recommended for approval.

WHEREAS The Arthur G. James Cancer Hospital and Research Institute Board pursuant to bylaw 3335-109-02 of the James Cancer Hospital Board Bylaws is authorized to recommend to the Board of Trustees the adoption of amendments to the Bylaws of the Medical Staff of The Arthur G. James Cancer Hospital and Research Institute; and

WHEREAS the proposed amendments to the Medical Staff Bylaws 3335-111-02, 3335-111-03, 3335-111-04, 3335-111-07, 3335-111-3335-111-08, 3335-111-09, 3335-111-10, 3335-111-11, and 3335-111-13, were previously endorsed and ratified by The James Cancer Hospital and Research Institute Board on November 19, 1996, as follows:

Amended Bylaws of the Medical Staff

3335-111-02 Purpose.

The purpose of the medical staff of the CHRI shall be:

- (A) To ensure that all patients admitted to the CHRI shall receive the best possible care and treatment in a teaching environment, realizing that the care and treatment of the individual patient is the responsibility of the member of the attending, associate attending, or clinical ~~medical staff~~ ATTENDING OR COMMUNITY ONCOLOGIST ATTENDING STAFF to whose care the patient is admitted or transferred.
- (B) To ~~support cancer research and educational programs;~~ provide research programs to enhance and advance the educational and patient-care programs; and, elevate and advance the educational standards of the medical profession, including, but not limited to, undergraduate and graduate medical students, nursing students, graduate nursing students, undergraduate and graduate pharmacy students, students of the allied medical professions, and students of other health professional colleges; ~~and, provide research programs to enhance and advance the educational and patient-care programs.~~

AMENDMENTS TO THE BYLAWS OF THE MEDICAL STAFF
THE ARTHUR G. JAMES CANCER HOSPITAL AND RESEARCH INSTITUTE (contd)

Amended Bylaws of the Medical Staff (contd)

- (C) To provide a means whereby medical problems may be reviewed; joint commission on accreditation of ~~hospitals~~ HEALTHCARE ORGANIZATIONS quality assurance standards adhered to; policies and procedures discussed; and to provide a means for establishing and maintaining standards of professional, medical and educational performance, organization, and discipline within the medical staff and harmonious cooperation and understanding among the units comprising the CHRI.

- (D) Unchanged.

3335-111-03 Patients.

- (A) The care and treatment of individual patients is the medical responsibility of the member of the attending, associate attending, COMMUNITY ONCOLOGIST ATTENDING or clinical medical staff to whose care the patient is admitted or transferred within the CHRI.

- (B) and (C) unchanged.

3335-111-04 Membership.

- (A) Qualifications.

- (1) Membership on the medical staff of the CHRI is a privilege extended to allopathic and osteopathic physicians, dentists, oral surgeons, clinical psychologists, and podiatrists who consistently meet the qualifications, standards, and requirements set forth in the bylaws, rules and regulations of the medical staff, and the board of trustees of the Ohio state university WITHOUT REGARD TO RACE, CREED, SEX, NATIONAL ORIGIN, RELIGION, SEXUAL ORIENTATION, AGE, HANDICAP OR VIETNAM ERA VETERAN STATUS.

- (2) All members of the medical staff of the CHRI, EXCEPT COMMUNITY ONCOLOGY ATTENDING STAFF, shall be members of the faculty of the Ohio state university college of medicine, or in the case of dentists, of the Ohio state university college of dentistry, and shall be duly licensed. Only those allopathic and osteopathic physicians, dentists, oral surgeons, clinical psychologists, and podiatrists who can document their education, training, experience, competence, health status adequate to perform the privileges being requested, adherence to the ethics of their profession, dedication to educational and research goals, and ability to work with others with sufficient adequacy to assure the board of trustees of the Ohio state university that any patient treated by them at the CHRI will be given the highest quality of medical care shall be qualified for eligibility for membership on the medical staff of the CHRI. EXCEPT FOR COMMUNITY ONCOLOGIST ATTENDING STAFF, CHRI medical staff members shall also hold appointments to the medical staff of the Ohio state university hospitals for consulting purposes.

- (3) Board certification.

An applicant for membership shall at the time of appointment or reappointment, be and remain board certified in a medical specialty approved by the American medical association and American board of medical specialties, or other applicable certifying boards for doctors of osteopathy, podiatry, psychology, and dentistry. An applicant who is an active candidate for board certification at the time of initial appointment or reappointment shall have three years from the date eligibility was first attained to become board certified. Board certification is a continuing requirement. Whenever recertification is required by a subspecialty board approved herein, applicants for

AMENDMENTS TO THE BYLAWS OF THE MEDICAL STAFF
THE ARTHUR G. JAMES CANCER HOSPITAL AND RESEARCH INSTITUTE (contd)

Amended Bylaws of the Medical Staff (contd)

appointment or reappointment shall meet the terms of recertification established by their respective subspecialty board or boards. Failure to meet or maintain board certification will result in immediate termination from the medical staff. This requirement may be waived by action of the medical staff administrative committee, upon the recommendation of the credentials committee or the ~~medical~~ director of ~~the CHRI~~ MEDICAL AFFAIRS.

- (4) All patients admitted for podiatric care shall receive the same medical appraisal as all other hospitalized patients. A member of the medical staff who is a doctor of allopathic or osteopathic medicine shall admit the patient and be responsible for the history and physical and medical care that may be required at the time of admission or that may arise during hospitalization, and shall determine the ~~need~~ EFFECT of any proposed procedure on the total health status of the patient. The podiatrist will be responsible for the podiatric care of the patient including the podiatric history and physical examination and all appropriate elements of the patient's record. Any proposed procedures by the podiatrist must be approved by, and be under the direct supervision of, an active member of the medical staff who is a doctor of allopathic or osteopathic medicine.

(B) Application for membership.

Initial application for medical staff membership shall be made by the applicant to the director on prescribed forms stating the qualifications and references of the applicant and giving an account of professional training and experience. Applications may be made only if the qualifications are fulfilled as outlined in paragraph (A) of this rule and supported by signatures of the chief of the CHRI section, chief of the clinical division and the appropriate academic department chairperson. SEE PARAGRAPH (E)(1) OF RULE 3335-111-07 OF THE ADMINISTRATIVE CODE FOR EXCEPTIONS TO SIGNATURE REQUIREMENTS. It shall include written statements which commit the applicant to abide by the bylaws, rules and regulations of the medical staff, and the board of trustees of the Ohio state university. The application shall also contain a statement acknowledging that medical staff membership requires participation in and cooperation with the peer review processes of evaluating credentials, medical staff membership and clinical privileges, and that a condition for membership requires mutual covenants between all members of the medical staff to release one another from civil liability in these review processes as long as the peer review is not conducted in bad faith, with malice, or without reasonable effort to ascertain the accuracy of information being disclosed or relied upon.

(C) and (D) unchanged.

(E) Procedure for appointment.

- (1) The completed and signed application for membership on the medical staff shall be presented to the director. Signing the application constitutes a consent to be interviewed in regard to the application, authorizes appropriate personnel within the CHRI to consult with members of the medical staffs of other hospitals with which the applicant has been or has attempted to be associated, and with others who may have information bearing on the applicant's competence, health status, character and ethical qualifications. The applicant also consents to inspection by CHRI personnel of all records and documents that may be material to the evaluation of professional qualifications and competence to carry out the clinical, medical, and educational privileges for which the applicant is applying as well as professional ethical qualifications for medical staff membership and further releases from any liability all representatives of the CHRI for acts performed concerning this process.

AMENDMENTS TO THE BYLAWS OF THE MEDICAL STAFF
THE ARTHUR G. JAMES CANCER HOSPITAL AND RESEARCH INSTITUTE (contd)

Amended Bylaws of the Medical Staff (contd)

The applicant has an affirmative duty to disclose any prior or current challenges to licensure, including voluntary relinquishment of such licensure, or any loss or limitations of membership in any medical, dental, podiatric, or other professional organization, or loss or limitations of medical staff membership or privileges at any other hospital, including voluntary terminations. Upon request of the medical staff administrative committee, the applicant will document physical and mental status with sufficient adequacy to demonstrate that any patient treated by the applicant will receive professional care of a generally recognized level of high quality and efficiency. The conditions of this paragraph shall be deemed continuing and may be applicable to issues of continued good standing as a member of the medical staff. Individuals in administrative positions who request medical staff membership or clinical privileges are subject to the same procedures as all other applicants for membership and privileges.

The application for medical staff membership and/or clinical privileges shall be deemed complete when the following documents and information are received by the director; notice of faculty appointment (EXCEPT FOR COMMUNITY ONCOLOGIST ATTENDING STAFF), completed and signed application, photograph attached, photostat of license, and DEA certificate AND BOARD CERTIFICATION (including verification by a primary source), chief(s) of section's and department chairman's endorsements, letters (3) of recommendation at least one of which references the applicant's current health status, delineation of privileges, malpractice insurance policy face sheet, continuing medical education information, IF APPLICABLE.

- (2) The director will forward the application to the chairperson of the appropriate academic department for review and verification of faculty status. The director will forward the application to the chief of each section to which the applicant is being appointed. The chairperson and the chief of section shall signify their approval by signing their names on page PAGES 7 AND 8.
 - (3) The credentials committee will be responsible for investigating and verifying the character, qualifications, and professional standing of the applicant and shall submit a report of those findings and recommendations to the medical staff administrative committee for action. The chairman of the credentials committee shall be responsible to see that each complete application is reviewed and processed within ~~one hundred eighty~~ NINETY days.
 - (4) The medical staff administrative committee (see paragraph (B) of rule 3335-111-10 of the Administrative Code) shall recommend to the director, the appropriate department chairperson, and the chief of the CHRI section that the application be accepted, further investigated, or rejected. The director will forward the candidates qualifications, with recommendations to the board of trustees of the Ohio state university ~~by communicating through the executive committee of the board of trustees. (Executive committee)~~ AFTER APPROVAL BY THE HOSPITALS BOARD.
 - (5) When the board of trustees of the Ohio state university has acted, the director of medical affairs of the CHRI shall transmit the decision in writing to the applicant. The director of medical affairs shall also notify ~~the dean of the appropriate college and~~ the chairperson of the appropriate academic department.
- (F) Procedure for reappointment.
- (1) At least sixty days prior to the scheduled final medical staff administrative committee meeting of the fiscal year (first of July through thirtieth of June), the chairperson of

AMENDMENTS TO THE BYLAWS OF THE MEDICAL STAFF
THE ARTHUR G. JAMES CANCER HOSPITAL AND RESEARCH INSTITUTE (contd)

Amended Bylaws of the Medical Staff (contd)

the appropriate academic department and the chief of each CHRI service of the CHRI shall review medical staff members for the purpose of determining recommendations for reappointment to the medical staff and for granting clinical privileges for the ensuing two years. Those recommendations shall be transmitted in writing to the director at least thirty days prior to the scheduled final medical staff administrative committee meeting of the fiscal year. THE CREDENTIALS COMMITTEE SHALL REVIEW EACH APPLICANT'S QUALITY ASSURANCE RECORD TO SEE THAT THE SAME LEVEL OF QUALITY CARE IS DELIVERED BY ALL MEDICAL STAFF MEMBERS WITH SIMILAR DELINEATED CLINICAL PRIVILEGES ACROSS ALL SECTIONS AND ACROSS ALL CATEGORIES OF MEDICAL STAFF MEMBERSHIP. Where reappointment is recommended the subsequent procedure will be the same as upon appointment, but, in addition, the individual's adherence to quality assurance standards as well as cooperation with and participation in other peer review activities will be taken into account. Where a recommendation to not reappoint or to change clinical privileges is made, the reason for such recommendation shall be stated. The director of medical affairs will forward the appropriate recommendations to the medical staff administrative committee.

- (2) The basis on which the chairperson of the academic department and the chiefs of the sections of the CHRI are to act in regard to the reappointment of a medical staff member and the clinical privileges to be granted upon such reappointment shall be a member's professional competence; clinical judgment; ethical conduct; current licensure and listing of CME units required; health status, including any reasonable evidence and/or health assessment that may be requested by the credentials committee, the medical staff administrative committee or committee on physician health; ANNUAL PPD TEST; participation in clinical research programs; participation in staff affairs; compliance with the bylaws, rules and regulations of the medical staff including quality assurance responsibilities, and the board of trustees of the Ohio state university; cooperation with CHRI personnel; relations with other physicians, other health professionals or other staff; professional attitude toward patients; clinical and technical skills as indicated in part by the results of monitoring and evaluation activities; and, fulfillment of responsibilities to the university and the public. Continued membership on the medical staff requires participation in the patient care activities of the CHRI. A staff member who fails to admit patients or engage in comparable patient care activities in the CHRI (as determined by the director of medical affairs) for the preceding year will not be reappointed to the medical staff. The candidate may reapply for membership on the medical staff by procedures set forth in this rule subject to the same provisions and probation as new applicants for membership.

- (3) through (5) unchanged.

3335-111-07 Divisions of the medical staff.

The medical staff of the CHRI shall be divided into honorary, attending, associate attending, clinical, COMMUNITY ONCOLOGIST ATTENDING, and limited designations.

- (A) Unchanged.
- (B) Attending staff.
- (1) Unchanged.
- (2) Prerogatives:

AMENDMENTS TO THE BYLAWS OF THE MEDICAL STAFF
THE ARTHUR G. JAMES CANCER HOSPITAL AND RESEARCH INSTITUTE (contd)

Amended Bylaws of the Medical Staff (contd)

Attending staff members may:

- (a) Admit patients consistent with the balanced teaching and patient care responsibilities of the institution. When, in the judgment of the director of medical affairs, a balanced teaching program is jeopardized, following consultation with the director and chairperson of the appropriate academic department, and with the concurrence of a majority of the medical staff administrative committee, the director of medical affairs may restrict admissions. Imposition of such restrictions shall not entitle the attending staff member to the procedures of paragraph (F) of rule ~~3335-111-04~~ 3335-111-05 of the Administrative Code and rule ~~3335-111-05~~ 3335-111-06 of the Administrative Code.

(b) through (d) unchanged.

(3) Responsibilities:

An attending staff member shall:

- (a) Unchanged.
- (b) Retain responsibility within their area of professional competence for the continuous care and supervision of each patient in the CHRI for whom he is providing care, or arrange a suitable alternative for such care and supervision, INCLUDING THE SUPERVISION OF INTERNS, RESIDENTS AND FELLOWS ASSIGNED TO HIS/HER SERVICE.
- (c) Unchanged.
- (d) Satisfy the requirements set forth in rule ~~3335-111-11~~ 3335-111-13 of the Administrative Code for attendance at staff and departmental meetings and meetings of those committees of which they are a member.
- (e) Unchanged.

(C) Associate attending staff.

(1) Unchanged.

(2) Prerogatives:

The associate attending staff may:

- (a) Admit patients consistent with the balanced teaching and patient care responsibilities of the institution. When, in the judgment of the director of medical affairs, a balanced teaching program is jeopardized, following consultation with the director and chairperson of the appropriate academic department, and with the concurrence of a majority of the medical staff administrative committee, the director of medical affairs may restrict admissions. Imposition of such restrictions shall not entitle the associate attending staff member to the procedures of paragraph (F) of rule ~~3335-111-~~

AMENDMENTS TO THE BYLAWS OF THE MEDICAL STAFF
THE ARTHUR G. JAMES CANCER HOSPITAL AND RESEARCH INSTITUTE (contd)

Amended Bylaws of the Medical Staff (contd)

~~04 3335-111-05~~ of the Administrative Code and rule ~~3335-111-05~~ 3335-111-06 of the Administrative Code.

(b) and (c) Unchanged.

(3) Unchanged.

(D) Clinical staff.

(1) Unchanged.

(2) Prerogatives:

The clinical attending staff may:

(a) Unchanged.

(b) Exercise such clinical privileges as are ~~granted~~ APPROVED by the ~~chief of service~~ CREDENTIALS COMMITTEE.

(c) Unchanged.

(3) Unchanged.

(E) Community oncologist attending staff.

(1) Qualifications.

The community oncologist attending (coa) staff shall consist of medical staff members who do not have faculty appointments in any of the academic units of the Ohio state university, are licensed in the state of ohio with a proven career commitment to oncology as demonstrated by:

Training.

Current American board of medical specialties certification, if applicable.

Practice profile.

Membership - professional oncology societies.

Publications.

Grant funding.

Other funding and experience (as approved by the CHRI director ~~and the chief of the appropriate CHRI section~~);

Individual COA staff utilization expectations shall be determined by the CHRI director with the advice of the CHRI director of medical affairs and shall be intended to provide optimal access for patients to the CHRI that does not interfere with the service, research, or educational activities and priorities of the university faculty who constitute the CHRI attending, associate attending, or clinical attending staff. The CHRI director and the staff member applying for coa privileges will mutually identify the applicant's CHRI clinical and/or non-clinical involvement, including the categories of diagnosis, extent of anticipated patient activity, and the service areas to be utilized each year. A statement of this participation will be made a part of the application for appointment.

AMENDMENTS TO THE BYLAWS OF THE MEDICAL STAFF
THE ARTHUR G. JAMES CANCER HOSPITAL AND RESEARCH INSTITUTE (contd)

Amended Bylaws of the Medical Staff (contd)

All applications for appointment and reappointment as a member of the COA staff shall be made to the CHRI director for initial evaluation. The CHRI director may, when appropriate, refer each application for completion of the appointment procedure in accordance with paragraph 3335-111-04 (E) or (F). However, the Approval of a chairperson and chief of section shall not be required for the appointment or reappointment relative to any application for coa staff privileges. The staff member's ability to cooperate and support the goals identified by the CHRI director will be considered in the decision for appointment and reappointment. Adequate levels of clinical activity may be required to permit proper evaluation under CHRI quality assurance and utilization guidelines.

(2) Unchanged.

(3) Responsibilities.

A community oncology attending staff member shall:

(a) through (c) Unchanged.

(d) Satisfy the requirements set forth in rule ~~3335-111-14~~ 3335-111-13 of the Administrative Code for attendance at CHRI meetings.

(e) through (h) Unchanged.

(F) Limited medical staff.

(1) Unchanged.

(2) Responsibilities:

The limited staff shall:

(a) Unchanged.

(b) Not admit patients but participate under supervision, in the care of all patients to whom they are assigned. They shall follow all rules and regulations of the service to which they are assigned, as well as the general rules of the CHRI pertaining to limited staff, including, but not limited to this requirement. Consultation shall be obtained with the attending, associate attending, or clinical, ~~or honorary~~ member of the medical staff responsible for the care of that patient before undertaking a procedure or treatment that carries a significant risk to the patient unless this consultation would cause a delay that would jeopardize the life or health of the patient.

Balance unchanged.

3335-111-08 Organization of the CHRI.

(A) through (C) unchanged.

(D) Department chairs.

Departmental chairpersons are appointed by the dean of the college of medicine and participate in the processing of applications for appointment and reappointment to the

AMENDMENTS TO THE BYLAWS OF THE MEDICAL STAFF
THE ARTHUR G. JAMES CANCER HOSPITAL AND RESEARCH INSTITUTE (contd)

Amended Bylaws of the Medical Staff (contd)

medical staff pursuant to these bylaws AND MAY ALSO BE APPOINTED TO SERVE AS DELEGATES AT LARGE ON THE MEDICAL STAFF ADMINISTRATIVE COMMITTEE.

Balance unchanged.

3335-111-09 Elected officers of the medical staff of the CHRI.

(A) through (D) unchanged.

(E) Election of officers.

(1) and (2) Unchanged.

(3) The committee's nominees will be submitted in writing to all voting members of the ~~attending~~ MEDICAL staff no later than the fifteenth of June.

(4) Unchanged.

(5) Candidates for the office of chief of staff-elect will be listed and each attending OR ASSOCIATE ATTENDING staff member may vote for one. Candidates for the at-large positions will be voted upon as a group. Each voting member of the ~~attending~~ MEDICAL staff may vote for three at-large candidates. The three candidates with the highest number of votes will be elected. A majority of the votes is not necessary.

(6) An officer may be removed from the elected position by a majority vote upon written ballot of the attending AND ASSOCIATE ATTENDING staff following a regularly scheduled semi-annual meeting. GROUNDS FOR REMOVAL SHALL BE MALFEASANCE, MISFEASANCE, OR NONFEASANCE IN OFFICE, AS DOCUMENTED BY WRITTEN CHARGES SUBMITTED TO THE CHIEF OF STAFF OR TO THE DIRECTOR OF MEDICAL AFFAIRS IF CHARGES ARE FILED AGAINST THE CHIEF OF STAFF.

(F) Unchanged.

(G) Vacancies in office.

(1) Unchanged.

(2) Vacancies in the office of chief of staff-elect will be filled by a special election held within sixty days of establishing the vacancy. The nominating committee will make nominations and a special meeting of the ~~attending~~ VOTING MEMBERS OF THE MEDICAL staff will be called to add nominations and elect the replacement. The new chief of staff-elect will become chief of staff at the end of the term of the incumbent.

(3) Balance unchanged.

3335-111-10 Administration of the medical staff of the CHRI.

Medical staff committees.

(A) Appointments: Appointments to all medical staff committees except the medical staff administrative committee and the nominating committee will be made jointly by the chief of staff, chief of staff-elect, and the director of medical affairs. UNLESS OTHERWISE

AMENDMENTS TO THE BYLAWS OF THE MEDICAL STAFF
THE ARTHUR G. JAMES CANCER HOSPITAL AND RESEARCH INSTITUTE (contd)

Amended Bylaws of the Medical Staff (contd)

PROVIDED BY THE BYLAWS, ALL APPOINTMENTS TO MEDICAL STAFF COMMITTEES ARE FOR ONE YEAR AND MAY BE RENEWED. THE CHAIRPERSON SHALL CONTROL THE COMMITTEE AGENDA, ATTENDANCE OF STAFF AND GUESTS AND CONDUCT THE PROCEEDINGS. A SIMPLE MAJORITY OF APPOINTED VOTING MEMBERS SHALL CONSTITUTE A QUORUM.

(B) Medical staff administrative committee:

(1) Composition:

- (a) Chief of staff, chief of staff-elect; section chiefs of medical oncology, radiation oncology, surgical oncology and pathology; chiefs of CHRI services; CHRI director, CHRI director of administration, CHRI director for OF medical affairs, CHRI associate director for professional education; six at-large delegates, CHRI administrator for nursing; CCC director for clinical research, CCC director for cancer control, associate vice president of health sciences of the college of medicine and university hospitals medical director.

(b) and (c) Unchanged.

(2) and (3) Unchanged.

(C) Credentials committee:

(1) Composition:

The committee shall be composed of the chiefs of the ~~disease specific committees~~ FOUR SECTIONS: MEDICAL ONCOLOGY, SURGICAL ONCOLOGY, RADIATION ONCOLOGY AND PATHOLOGY AND TWO ADDITIONAL MEMBERS OF THE MEDICAL STAFF or their designee ~~and other members of the medical staff as appointed~~. Appointments to the committee shall be in accordance with paragraph (A) of this rule. The chairperson of the committee shall be appointed by the director of medical affairs, the chief of staff, and the chief of staff elect.

(2) Unchanged.

(D) through (F) Unchanged.

(G) ETHICS COMMITTEE.

(1) COMPOSITION.

THE COMMITTEE IS A JOINT COMMITTEE AND SHALL CONSIST OF MEMBERS OF THE MEDICAL STAFF, NURSING, HOSPITAL ADMINISTRATION, AND OTHER PERSONS REPRESENTING BOTH THE CHRI AND UH WHO, BY REASON OF TRAINING, VOCATION, OR INTEREST, MAY MAKE A CONTRIBUTION. APPOINTMENTS WILL BE MADE AS PROVIDED BY IN THESE BYLAWS. THE CHAIRPERSON SHALL BE A PHYSICIAN WHO IS A CLINICALLY ACTIVE MEMBER OF THE MEDICAL STAFF OF UH OR THE CHRI.

(2) DUTIES.

(A) TO MAKE RECOMMENDATIONS FOR THE DEVELOPMENT OF GUIDELINES OR POLICIES REGARDING ETHICAL ISSUES.

AMENDMENTS TO THE BYLAWS OF THE MEDICAL STAFF
THE ARTHUR G. JAMES CANCER HOSPITAL AND RESEARCH INSTITUTE (contd)

Amended Bylaws of the Medical Staff (contd)

- (B) TO PROVIDE ETHICAL GUIDELINES AND INFORMATION IN RESPONSE TO REQUESTS FROM MEMBERS OF THE MEDICAL STAFF, PATIENTS, PATIENT'S FAMILY OR OTHER REPRESENTATIVE, AND STAFF MEMBERS OF THE CHRI.
- (C) TO PROVIDE A SUPPORT MECHANISM FOR PRIMARY DECISION MAKERS AT THE CHRI.
- (D) TO PROVIDE EDUCATIONAL RESOURCES ON ETHICS TO ALL HEALTH CARE PROVIDERS AT THE CHRI.
- (E) TO PROVIDE AND ENHANCE INTERACTION BETWEEN CHRI ADMINISTRATION AND STAFF, DEPARTMENTAL ETHICS COMMITTEES, PASTORAL CARE SERVICES, AND MEMBERS OF THE MEDICAL STAFF. (B/T 9/1/93, B/T 3/3/95, B/T 12/6/96)

3335-111-13 Meetings and dues.

(A) Meetings.

The medical staff of the CHRI shall conduct scheduled meetings semi-annually. Notice of the meetings will be sent to all ~~attending staff~~ MEMBERS at least two weeks prior to the meeting. Attendance at least one of the two regularly scheduled meetings shall be a requirement of continuing attending staff privileges. Exceptions requested in writing may be sent to the chief of staff who recommends action to the medical staff administrative committee. A special meeting may be called at the option of the medical staff administrative committee.

(B) Unchanged.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to Bylaws of the Medical Staff of The Arthur G. James Cancer Hospital and Research Institute be adopted as recommended by The Arthur G. James Cancer Hospital and Research Institute Board.

Upon motion of Mr. Celeste, seconded by Mr. Skestos, the Board of Trustees adopted the foregoing resolution by unanimous voice vote.

CANCER HOSPITAL REPORT

Mr. Skestos:

Mr. Chairman, I would like to now call upon Dr. David Schuller to present the annual report of the Cancer Hospital.

Dr. David Schuller:

Good morning. The Arthur G. James Cancer Hospital and Research Institute, once again, met or surpassed financial and statistical goals this year. For the fiscal year ending June 30, 1996, the James completed its sixth year of operation with a contribution from operations of \$5.7 million, reflecting a 4.4 percent net margin. Supporting this margin were total admissions of 5,529 -- an increase of 6.4 percent

CANCER HOSPITAL REPORT (contd)

Dr. Schuller: (contd)

over last year. An important feature of this figure is that it represents a 3.4 percent increase in new patients to the Cancer Hospital.

The average length of stay was lower than budget by 10 percent and the average daily inpatient census was 97 supporting an occupancy rate for the year of 83 percent against staff beds. The cost per adjusted stay in the Cancer Hospital was \$12,463 which was lower than last fiscal year, which was \$13,374. It was also lower than the Board approved goal of \$13,054.

Both inpatients and outpatients were questioned about their satisfaction with the medical staff, nursing staff, and overall hospital stay. Throughout the course of the year, the James maintained a satisfaction rate above the 90 percentile threshold.

A number of goals and objectives were established by the James Hospital Board in late 1995, including reorganization of the Hospital's governing board to include additional community and medical staff leadership. Joining the Board in 1996 were Dr. William Farrar, Director of Medical Affairs, and Dr. James Ungerleider, Chief of Staff. New community members to the Board appointed were Curtis J. Moody and Robert B. Smith.

Other objectives set by the Board included: the initiation of a network to allow for the expansion of the James' mission across the State of Ohio; the initiation of a costing model sensitive to the unique population seen at the James; and the initiation of a heightened public awareness campaign and the approval of a three-year capital budget, which includes dollars allocated for information system improvement.

The membership of the James Foundation Board was also expanded and Mr. Jim Pickett was appointed as chair. Mr. Pickett brings considerable business and civic leadership to this board which has set a goal of raising \$50 million as part of the Threshold of Discovery Campaign to fund the Human Cancer Genetics Initiative.

The James had a number of successful fund-raising events in 1996, including the Up On the Roof Gala that raised \$178,000 and the Bloch Memorial Golf Tournament which raised \$110,000. Funds from the tournament support the Herbert J. Bloch Memorial Lectureship at the James, an award which recognizes distinguished achievements in cancer research. Last year's recipient was Dr. Judah Folkman -- the originator of a new field of science termed, "angiogenesis."

The 1996 Marketing Awareness Survey result supports the educational focus that the James has taken during the past year in its marketing initiatives. When asked what institutions specialize in treating cancer, respondents in 39 counties continue to mention the James in increasing numbers resulting in an increase in awareness from 29 percent to 45 percent over the past five years. In Franklin County, awareness is 70 percent. During the last year, specific awareness of the James increased 10 percent in the 39 counties surveyed. When asked which hospital conducts cancer research, 84 percent of the general population mentioned either the James or Ohio State.

In one of its many public awareness efforts, the James entered into a collaborative agreement this year with the Ohio Association of School Nurses creating a program called, School Nurses As Advocates for Cancer Control. This statewide program gives school nurses access to resources and knowledge about cancer detection and control in order to promote healthy behavior in Ohio schools.

To provide other resources to the community, two centers for health information were opened: 1) at Doan Hall; 2) at the Stoneridge Medical Center. These centers

CANCER HOSPITAL REPORT (contd)

Dr. Schuller: (contd)

were made possible by donations from Pharmacy, Upjohn, the Harold Zigg family, and the Medical Center Service Boards.

In partnership with OSU Extension, the James co-produced an interactive television program highlighting family history and cancer, reaching some 1,300 people at 75 sites in 34 states. These two OSU partners also teamed together to produce a cookbook featuring health conscious recipes. I have an example of the cookbook here and have left order forms on the back table for any of you looking for Christmas gifts.

The James initiated its Clinical Cancer Genetics Program with the appointment of Dr. Judy Westman who is a board certified geneticist. This patient care component of the broader human cancer genetics initiative helps patients from families who have a strong cancer history.

The new Palliative Medicine Hospice Program was announced and Dr. Nino Benedetti was appointed as its medical director. This unique program will include educational and research components in Palliative medicine, as well as a new hospice program that will begin operations in the coming months.

As a founding member of the National Comprehensive Cancer Center Network, the James served in a leadership role to develop national treatment guidelines for the most common cancers.

Finally, the Cancer Hospital Board has had a change in its leadership and the Board would like to present and have me read a bit of a resolution to honor Alex Shumate. There are a series of whereases, but if you will:

"WHEREAS Alex Shumate served as Chairman of The Arthur G. James Cancer Hospital and Research Institute Board with exceptional leadership from 1994-1996."

And after several whereases:

"NOW THEREFORE

BE IT RESOLVED, That this Board acknowledges its deep appreciation to Alex for his dedicated service and outstanding leadership to The James Cancer Hospital and Research Institute and extends best wishes for continued success."

I would like to personally extend my appreciation for Alex for his great leadership. Thank you very much. Here ends my report.

Mr. Wexner:

We all join you in congratulating Alex and likewise congratulating you for your leadership and the work of the hospital. I wonder if there are any questions or comments from any of the Trustees about your presentation or work at the hospital? Tami, Jim, David?

Mr. Shumate:

If there was one thing that this Board of Trustees could do to help you achieve even more of your goals, what would that be?

CANCER HOSPITAL REPORT (contd)

Dr. Schuller:

I think that the Board has been extraordinarily supportive, Alex, from day one of my appointment, to help us achieve our goals. We are in a very rapidly changing, if you will, somewhat volatile time in healthcare delivery in this country. It represents challenges, not problems. It also provides opportunities, I think, and we are very excited about some new initiatives that are somewhat nontraditional initiatives to help us achieve our goal.

So we are looking at expanding the concept of the James beyond the four walls of the building, to the James as being a descriptor of the overall cancer program of The Ohio State University that embraces all health professionals and lay people that are interested in helping us deal with this problem. So we appreciate the support of the Board and you can rest assured that we will be coming to the Board with some proposals of new initiatives for your approval in the ensuing months.

Mr. Wexner:

David, I'd be curious -- as the James isn't yet a teenager and it isn't a baby either -- at this point in its life how are you finding the opportunities for recruiting senior staff researchers -- the kinds of people that I would assume you need to build the institution to the next level of performance?

Dr. Schuller:

We think that is critically important, sir. This is now where we feel there is the opportunity to take our cancer program from being a one of a handful within the United States, to one of a handful within the world. We have a spectacular state-of-the-art physical facility, we have a tradition of excellence in cancer research throughout this University, and now we are, indeed, if you will, investing heavily in bringing world class people to this program specifically with the focus of cancer genetics.

We are in active stages of those recruiting activities. And, quite frankly, the initial recruitments represent a major challenge because it takes a certain individual who has that desire to be a part of a building team. We are very excited about the possibilities and we hope to be able to make some announcements in the next few weeks about that. We are in the process of some very exciting recruiting initiatives because that is where the investment needs to be made now -- not in bricks and mortar, not in new gizmo machines, but in world class quality people. That is correct.

Mr. Wexner:

If we can help in any way, through Alex or directly, I'm sure we all will. Thank you very much.

Dr. Schuller:

Thank you.

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STUDENT AFFAIRS COMMITTEE REPORT

Ms. Smith:

This morning the Student Affairs Committee heard a report from Drs. Louise Douse, Pamela Park-Curry, and Christina Rideout regarding career counseling.

Career counseling is a vitally important service that we provide to OSU students. I know that you have all heard me say this before, but I truly believe that the type of support services that we provide our students have a direct impact on the student experience that they have here at Ohio State.

This year the Career Services Office has attempted to improve the collaborative efforts between colleges. They have also implemented a computerized on-line program called Job Track, which lists jobs, co-op programs, and study-abroad programs. They have printed a brochure for potential employees and funds that were made available vis-a-vis the one percent set aside have allowed three new positions to be created: 1) two new career counselors; and 2) one new career technology position. I'm very happy to see what a significant impact the one percent set aside has had on the student services that we provide at Ohio State.

In the future, the Career Services Office plans to categorize their development experiences and programs. They want to continue to expand their technology innovation and enhance the connections with potential employees.

In addition, the Career Services Office will have a new location in Neil Hall which will house a state-of-the-art success center for OSU students and the move-in date is scheduled for November 1998. I think that this is a very exciting thing for Ohio State and everyone is really looking forward to the opening of this office.

The Committee felt that there needed to be some sort of base qualitative or quantitative standard that Career Services must achieve. I think that we are excited about the positive new ideas and strategies that they have and we look forward to working with them during the coming year.

I would now like to introduce Vice President Williams who will share with us some of the successful student-oriented activities that were implemented this fall.

REPORT ON START-UP OF AUTUMN QUARTER

Vice President David Williams:

Bill Hall is going to give the report, but before he gives you the narrative and the visual, I just want to say a few things and then say a few things afterward.

First, what you are going to see is a result from CUE. When the Provost and I put the CUE Committee together, it was a collaboration between Academic Affairs and Student Affairs, but it went much broader than that. It brought in a lot of different people from the University. In fact, one of the great things that we think happened out of it -- it actually ended up being an equal amount of faculty and staff and an equal amount of students. The faculty/staff side was chaired by Eric Busch, from Student Affairs, and Martha Garland, from Academic Affairs.

The real focus of that -- and I'm sure you all have heard a lot about CUE -- is the fact that we recognized that we needed to listen to the students more, and from that, we have moved into different sorts of areas. A recommendation of CUE was to create what was called a Transition-In Committee and we did that. That was chaired by Brian Harris, from Recreational and Intramural, and Jack Cooley, one of our counselors.

REPORT ON START-UP OF AUTUMN QUARTER (contd)

Vice President Williams: (contd)

They came up with a number of recommendations, but one of the basic ones is that we need to be more concerned about the beginning of a student's career.

I think it is important to say a lot of people and a lot of different areas were involved and some special attention should go to some of the departments that helped us: Bill Shkurti and the Office of Finance helped us a lot with flexible financing; Janet Pichette and her people, particularly Traffic and Parking, the Police, and Physical Facilities; and then I would certainly be remiss if I didn't note, once again, all of the help that we have gotten from Academic Affairs and, in particular, from Dick, Ed Ray, Bob Arnold, and Dave Ferguson. One of the real things you are going to see in this is we have taken the position that this is just not Student Affairs. In all of the activities there was a sharing and Academic Affairs played an important part.

Afterwards, I'll introduce some of the people in Student Affairs who were involved in what Bill is now going to explain to you. Bill --

Mr. Bill Hall: [slide presentation]

Thank you, David. I will provide an overview of the start-up of Fall Quarter beginning with Welcome Week through Michigan Week. As David indicated as we initiated our planning going into this year, we focused on the recommendations from the CUE Report and the Transition-in Report, and we looked at the Vice President's and President's vision for change on this campus. That resulted in an evaluation of our Mission Statement and for the Board's review, and I have enclosed a copy of that in your packet.

As I draw your attention to the Mission Statement on the screen here, there is a key principle that stands out and that is: provide for all students. I think unlike an academic college mission, the Mission of Student Affairs is that we have a responsibility -- inherent in our mission is to reach out and touch each and every student on this campus. That became the principle that guided our mission development and our philosophy of serving students first.

Our challenge is: How do we make this a reality? How do we actualize that? How do we put that into actual play and practice? We decided to focus on three major areas. The first was the heritage and traditions, and spirit of this campus. Trying to connect students very early on with the campus community and providing those learning and leadership opportunities that are so critical to our students' academic success.

As we look at the area of community connections, what we wanted to say and demonstrate to our students very early on is that you belong here and there is a place for you at Ohio State University. That begins with our Residence Hall Move-in and that is much more than just opening up the doors to the Halls and saying "come on down folks." It is a major campus activity that is well planned and well executed, and nobody does it better than Ohio State University. When the students and parents arrive on this campus, it suggests to them that we were prepared for them, we welcome them, and there is a place for them at this University.

The reason that works so well is that we have cooks, custodians, maintenance workers, bargaining unit and non-bargaining unit staff, civil service and A&P, the President of the University, vice presidents, deans of the colleges, and the Director of the Athletic Department coming out, working shoulder to shoulder, and focusing on the needs of the students. When our students and parents arrive here, it definitely says, "you belong here at Ohio State University."

REPORT ON START-UP OF AUTUMN QUARTER (contd)

Mr. Hall: (contd)

That is followed by our Community Involvement Fair. What we are trying to get students to do is introduce students very early on to the over 500 student organizations, academic, and social clubs on this campus so that they can get connected and find a place where they belong.

A new initiative this year was our Job Fair under the leadership of Becky Parker and her staff. Each year we have hundreds of students coming to this campus looking for employment and we have numerous offices on this campus trying to employ students. A lot of energy and attention was placed on bringing those two groups together in the ballroom. Not only do we assist students financially -- if they need that financial assistance -- but more importantly, we know from the research that we have done, that those students that work on campus do better academically and have a higher retention rate at this institution.

Parents' Weekend -- we moved that activity from the Spring to the Autumn Quarter. It is not only about connecting parents and students, but it is about connecting parents and students to the activities and to the heart of this campus.

As we look at the second major area -- transitions and the spirit of a campus community -- I would ask Board members to reflect on their college memories and experiences. What comes to your minds? I would suggest to you, that it is not the lecture in biology, but it is those programs and events that built those life-long memories, friendships, and relationships that are so critical to a college experience.

I'll highlight a few of those on our campus: the President's Picnic or Buckeye Bash as we call it. This is an event that we used to hold outside of St. John Arena in the parking lot, which was not well attended. This year our focus was to involve the total campus community in that experience. We were prepared to serve over 12,000 students -- I think you can see from the two students that are devouring that sub -- I'm not sure how many students attended, but they devoured every bit of food that we had. It was just a great social experience right in the heart of the campus -- the Oval.

Scarlet Fever is in its second year. It is a large scale program, but it is designed to introduce our freshmen students to the great traditions of this institution. We brought in a number of our athletic coaches and some of the team captains, the cheerleaders, and Brutus Buckeye and introduced them to the students. We taught the students some of the cheers and what Carmen Ohio is all about. It is just a great, fun-filled event and it's a great alternative to the typical Thursday night bar scene.

Homecoming Week -- as we bring our alumni back to campus and the great traditions that we have there with the pep rally and parade. We worked very hard to involve some of the campus businesses east of High in forming corporate sponsorships to enhance those activities as we connect our students and alumni to Homecoming Week.

As we look to Michigan Week there was a whole host of activities that I could highlight, but I'll highlight just a few. Operation Fill-Up being one activity where our Student Organizations Office canvassed the residents living east of High and the Greek community in collecting over 3,500 items to contribute back to the local food pantries in the community. Those kinds of things that our students do quite often that go unnoticed and unappreciated.

REPORT ON START-UP OF AUTUMN QUARTER (contd)

Mr. Hall: (contd)

Or our 12th Avenue Walk where we had teams of faculty, staff, administrators, students, OSU Police, and Columbus Police going from 10th Avenue all the way up to Lane Avenue. They went door to door, talking with students about their responsibility to be good citizens of the community in which they live and as they celebrated, to make sure that they did so safely and responsibly.

While we were out there, we invited them over to the pancake breakfast the day of the game and we opened up all the doors to the Dining Commons. We teamed up with Bob Evans and served over 4,000 students a free pancake and sausage breakfast.

Perhaps that is a good place to stop with respect to Michigan Week. But as Dr. Gee said, "we are on our way to the Rose Bowl."

I would call your attention to the slide in the lower left-hand corner. I don't know if you recognize those two individuals trying to do the Macarena, but I can assure you, Vice President Williams is an extremely good dancer. I am not so inclined. I certainly created some memories for our students and it is one of a bull in a China closet that I'm sure that they'll recall from that evening.

As I look at the last area in terms of academic success and retention, what we are trying to focus on is providing learning and leadership opportunities for our students to contribute to their academic success. Convocation is certainly one of the starting points in that. We got students much more involved in planning that process, we shortened the length and we gave it much more of an academic focus. As you can see on the screen, that great processional coming across campus from St. John Arena to the academic heart of the campus -- the Oval -- tended to draw faculty, staff, and students out of their offices and join in that great social experience right in the heart of the academic core of this campus.

First-year Experience Program targets freshmen and we have expanded that. We have gone from one building to four buildings in the Residence Halls, and we have teamed up and formed a collaborative partnership with University College. We have UVC advisers coming back and tutoring, mentoring, and guiding our freshmen students. Our Upperclass Peer Mentoring Program, where we bring upper class students back into the halls that have learned how to negotiate the academic ladders of this institution, and passing that knowledge along to our freshmen students.

Our Gore and Clinton rallies on this campus were much more than political rallies. They were absolutely great opportunities for our student leaders to get involved working with the White House staff and Commerce staff in planning those activities as they brought those activities to this campus. The students just did a magnificent job of holding those events on this campus.

The lecture series sponsored by our Student Events Committee -- again, students much more involved in deciding the lectures that they would bring to this institution. They focused on bringing in lectures that have a direct tie to the academic process.

Let me highlight just a few things in the area of academic success programs. In the Residence Halls, under the leadership of Steve Kramer and his staff, a couple of initiatives need to be mentioned. The first is focusing on training the residence adviser staff to be alert to the warning signs that students may have as they get into academic trouble. So the staff can refer those students to get them the resources and help that they need before it is too late.

REPORT ON START-UP OF AUTUMN QUARTER (contd)

Mr. Hall: (contd)

The second is the academic grants program, whereby we cut back on some administrative staff to create a pool of approximately \$45,000: \$30,000 is made available for students that submit grants that enhance the academic life of this campus; and \$15,000 is made available to students that come up with initiative programs for drug and alcohol awareness issues or extended instruction programs, where we have faculty and T.A.'s from a number of disciplines around campus coming back into the Halls, and mentoring and guiding our students.

As we look to the future, there are two initiatives that I want to mention: 1) the Student Affairs leadership team that has been initiated by Vice President Williams. This is a collaborative and strategic planning process, and we have students involved at all levels of that process; and 2) the Vice President and the Provost are teaming up to appoint a Campus Activities and Events Coordinating Board. Its purpose is to ensure more effective communication and collaboration regarding the program experiences that we offer on this campus.

I have only touched on a few of the activities, but there is a whole host of staff and offices that are working very hard to serve students. I hope I have communicated to you that Student Affairs is much more than just a series of disjointed events and programs on this campus. We have a strategic focus and a plan that is designed to enhance the social and academic experience of our students as they go through this institution. The students that are represented on these slides are certainly the future and the essence of this institution.

Holly, we appreciate the time that we have had to make this presentation. Thank you very much.

Ms. Smith:

Thank you. I would just like to commend the Office of Student Affairs for their outstanding efforts and I would like you to know that Ohio State students truly do appreciate all of your efforts.

Mr. Hall:

Thank you.

Mr. Williams:

You saw what we did for Autumn Quarter and we hope next year -- and I've already started talking to the Provost -- to expand that from one quarter to a whole year, to go beyond the quarter. We just started integrating to the point where we have for all four years or whatever the time the student is here, the same sort of input we've put in that sort of quarter. But we find this to be successful and we think we can build on that.

As we leave I would just like to have those people stand and be recognized: Tina, Mary, Eric, John, Ann, Angie, and Becki. These are the people from Student Affairs that had so much to do with this. All of them had different parts in it. They worked real hard and continue to work hard.

President Gee:

David, let me just comment from my particular perch and I want everyone in Student Affairs to hear this. I think that the energy and commitment is evident, the quality of

REPORT ON START-UP OF AUTUMN QUARTER (contd)

President Gee: (contd)

our product is very high, and the satisfaction of our students has never been better. I think that we are making enormous progress. I want everyone to know how deeply I personally appreciate what they are doing. It is very evident to me the progress and energy we're making and obviously your leadership is central to that and that goes without saying how much we appreciate what you are doing.

Mr. Wexner:

Are there any questions or comments to Bill or David? This is obviously a major area of Board concern and interest. Before we close this, if there is any discussion or questions anyone has --

Provost Sisson:

Let me just extend a comment, Mr. Chairman, that David made. The first year experience is critical for our students. It is critical with respect to retention into the second year, it is critical with respect to graduation rates, ultimately. That is why our next priority is to extend the transition into the University -- that is from admission to orientation, to Welcome Week, to the first quarter. To make this a seamless experience and commitment that goes through the first year into the second year, and ultimately into a career. So it is the relationship and the collaborative ventures that we have been involved in thus far that have been, I think, very productive.

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STUDENT RECOGNITION AWARD

Ms. Smith:

Mr. Chairman, I would like to call on Mark for the Student Recognition Award.

Mr. Berkman:

Thank you, Holly. Tonette Rocco, a native of North Royalton, Ohio, and now a resident of Columbus, is finishing her doctoral degree in adult education, which is part of the Wellness Education and Lifelong Learning section in the College of Education. She is expected to complete her dissertation in Workforce Education this quarter and graduate Winter Quarter.

Tonette is very diligent in her work with the Dean's Leadership Council, a group of student leaders working to improve the atmosphere at the College of Education for themselves and their fellow classmates. She has been instrumental in making the Council a success, co-chairing the Governance Committee, and sitting on the Special Events Committee. Where she has put forth incredible amounts of time and energy is in establishing a student-run journal at the College.

Other activities include: serving on the Adult Education Colloquium and Community of Scholars. She was a founding member of the Students for Disability Awareness. Not only has Tonette been active as a leader among her peers, she excels in the classroom as well. She has been a part of collaborative writing projects with four professors and several students. One of these manuscripts is published, while two are currently in review.

STUDENT RECOGNITION AWARD (contd)

Mr. Berkman: (contd)

The Board of Trustees finds it especially admirable that in the near future, Tonette will be taking a homeless man into her home, helping him to finish high school, and to find a job. Tonette is here today with her adviser, Dr. Kevin Freer, assistant professor in the College of Education. If both Tonette and Dr. Freer will come forward, the Board of Trustees of The Ohio State University would like to present Tonette Rocco with the Student Recognition Award.

Mr. Wexner:

Congratulations. Thank you, Mark.

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STUDENT GOVERNMENT REPORT

Ms. Smith:

Mr. Chairman, I apologize for the longevity of my report, but I would like to introduce Eric Reeves, a second-year law student and President of the Interprofessional Council, to give the student government report.

Mr. Eric Reeves:

Good morning, Chairman Wexner, President Gee, Trustees, distinguished guests, and visitors. Again, allow me to introduce myself, my name is Eric Reeves. I am a second-year law student and President of the Interprofessional Council.

I will begin with the Undergraduate Student Government Report. First the undergraduate student Board of Trustee applications are available in all of the student government offices. Jenny Nelson, of USG, is heading the committee that will determine who will replace Trustee Holly Smith. USG would like to thank Holly Smith, Mark Berkman, Kellie Hay, and Eric Reeves for all of their help with this process.

Secondly, USG will be expending an incredible amount of effort to determine how to make the general education curriculum more flexible for all students. It is the hope that by the end of the Winter Quarter, there will be 45 credit hours that any freshman can take that will apply towards graduation.

Finally, I passed out a manilla envelope which is the response to many of the questions and concerns that Dr. Napier raised with respect to the COTA Referendum. If all goes as planned, this plan will come to the Board for approval in February.

Thanks, happy holidays, and Go Bucks -- beat ASU!!

Next, the Council of Graduate Students Report. The Council of Graduate Students is now in the process of finalizing the committee structure of faculty and student representation for our quality of Graduate Experience Report. Dean Huntington has approved our charge to produce an exhaustive needs assessment with respect to employment, policy and curriculum, and student services. We will have the survey instrument prepared this month and will be surveying in late January.

Next, the annual Graduate Research Forum is coming up on April 22, 1997. We are now searching for abstract judges and getting the word out so as to encourage

STUDENT GOVERNMENT REPORT (contd)

Mr. Reeves: (contd)

graduate students to submit their current research. Cash awards are available for the top three winners in each division. This year, thanks to the help of the Graduate School, our top division winners will also receive a \$500 travel award. We look forward to as much faculty and administrative support as possible to carry out this event.

And, finally, the Interprofessional Council Report. First, I would like to comment that IPC has decided to participate in the COTA Referendum and we are very excited about that. We believe that it will be very beneficial to professional students who tend to live further outside the campus community.

Second, we will be convening with the presidents of the individual professional schools to collaborate on a project to provide a professional services day to undergraduate students. Finally, IPC will be voting on a new constitution and voting procedures in January. We will report the outcome of this at the next Board of Trustees meeting.

Thank you, and, again, go OSU. Let's beat ASU in the Rose Bowl!

Mr. Wexner:

Any questions for Eric before he leaves? Thank you very much. Holly, do you have anything else?

Ms. Smith:

That concludes my report.

Mr. Wexner:

Very good report, likewise from your colleagues.

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EDUCATIONAL AFFAIRS COMMITTEE REPORT

Mr. Shumate:

During our Committee meeting this morning, Provost Sisson presented a detailed report on a diversity project that is focused on faculty and student retention, as well as recruitment. As well, we heard a report about the CUE Committee and I have asked the Provost to circulate both of those reports to all of the members of the Board.

At this time, I would like to call on Ed Ray to give us an update on the Early Retirement Incentive Program.

UPDATE ON THE EARLY RETIREMENT INCENTIVE PROGRAM

Dr. Ed Ray:

Thank you, Mr. Shumate. This represents the second annual report on the progress that we are having with respect to the Early Retirement Incentive Program. It represents the first report covering the year after all of the retirements have, in fact, taken place. Part of what I want to do is to give you a sense of how the numbers --

UPDATE ON THE EARLY RETIREMENT INCENTIVE PROGRAM (contd)

Dr. Ray: (contd)

in terms of retirements and the finances and the replacement efforts -- are going, relative to the projections that we made at the outset of putting this program together, which dates back as early as December 1993.

I think before we get into the numbers -- and there is sometimes an tendency to get caught up in the numbers -- it is important to remind ourselves why we ever got involved in the Early Retirement Incentive Program. We did so as a part of an outgrowth of discussions with the academic leaders of the University, the deans and the chairs, about the needs that they had to in effect be able to redirect resources to more effectively meet students' demands for different areas of instruction; the flexibility that they needed to target staffing in areas in which they felt academic programs needed to grow in the future; and the more immediate needs that they had to deal with budget reductions, which were a part of that period of Fiscal '93 through '95 that we are all quite familiar with.

Obviously, one of the concerns that the Board expressed to me and to others was that to the extent that we implemented a program of this sort, we had to be absolutely clear up-front of the kinds of financial obligations that we were taking on. Also, to be as prudent as possible to manage this program to the extent that if it had any predominant effect, that effect would be to free up annual rates that programs could redirect to new uses and that on a cash flow basis, it ought to look as positive as possible.

I gave you a number of tables and documents in the report that you have received and what I would like to do is -- for the sake of brevity -- just hit on some of the highlights of the second page of that report that you have in front of you. First let me remind you that as a result of the program: 335 retirements occurred under the STRS program; 318 of those retirements involved faculty; and 267 of those positions will, in fact, be filled over the course of the next several years. In fact, we are talking about an actual downsizing of regular faculty staffing of 1.8 percent.

I have seen some media reports that talk about replacing 75 percent of our faculty at the entry level. I think to set the record straight, we want be clear that first of all we are talking about slightly less than 10 percent of our faculty positions being involved in this program at all. And that something on the order of 75 percent of those 267 replacement hires will, in fact, occur at the assistant professor level.

We expect -- and we indicated this last year -- that when all is said and done, the annual rate savings available to academic units to redirect to other purpose will be on the order of \$7.7 million. We expect that over the five-year life of this project to see a net cash flow to the academic units for their own programmatic uses to total something on the order of \$18 million.

For the first full year of this replacement process out of 48 positions that were available for rehire, 24 new faculty were brought to the campus. As you would expect, the replacement is less than anticipated and the net cash flow ought to also be more positive. So we find at the end of Fiscal '96 -- as indicated in the information that I have provided to you -- that as a result of those delayed hires, the cash flow has increased to \$15.4 million compared to the earlier estimate of \$13.3 million through June 1996.

One of the concerns that the Board also expressed at the very outset was that we had to do everything we could to protect the integrity, variety, and quality of our academic offerings. One of the things that the academic programs have been very careful about is designing ways to deal effectively with this transition period. That included systematically hiring back retirees on a case-by-case, part-time basis to

UPDATE ON THE EARLY RETIREMENT INCENTIVE PROGRAM (contd)

Dr. Ray: (contd)

teach critical courses until the appropriate staffing could be accomplished and to make sure that we weren't giving up quality in key areas.

I can tell you that of the 258 faculty who retired from the Columbus campus, 113 were hired back during the course of that first year to teach primarily individual courses at a rate of about \$5,300 per course. This is compared to a preretirement compensation rate in excess of \$14.4 thousand per course taught. So another way of saying all of this is a little bit less than half of the retirees were hired back during the first year at a rate of something less than 40 percent of their previous compensation rate to help in a strategic targeted way to help the academic units maintain the quality, integrity, and variety of their academic offerings.

Our experience to date -- if I had to characterize some of the things that we have learned from this program -- is that there is an awful lot to be said to listening to your people in the field who know the courses, the programs, their needs, and know the people that they are dealing with. I indicated here that we had an outside consultant come in at first and advice us about the potential value of such a buyout program. The figures didn't look very promising at all and we were quite unenthusiastic about going forward.

Our academic leaders, basically the deans and chairs, told us the numbers are wrong. If you follow this program, we expect something on the order of 300 to 325 retirements. We got 318 from among the faculty. They told us when we first started to implement this program that we were doing two things wrong: 1) that we had set the end time to the retirement date at April 30, 1995. They said extend that to June 30, it will make the whole turnover process much more effective; and 2) they told us "don't worry about setting a cap -- we set a cap, as you will remember, of about 300 - - we are confident about our numbers, we are looking at something on the order of 320-325 faculty to retire.

We were smart enough -- if not to figure it out at first -- to at least take good advice when we heard it. I think the evidence that we are looking at so far suggest that the program is working out much as we expected.

That concludes my remarks and I would be happy to respond to comments or questions.

Mr. Wexner:

In being a part of that and remembering the discussion about the risks involved, I guess a couple of things come to my mind. One is that using an outside resource is good and it pays to know the territory. Obviously, you know the territory so you could merge that and craft something that was important.

A thought that just occurred to me after listening to the Student Affairs report is that the retention of faculty when retired to the University might be kind of a dirt measurement of the relationship that the University has with its faculty. Much as students transiting from first quarter to first year to ultimately graduation, and the fact that it was a third or a half of the faculty that retired continue their relationship with the University, keeping that intellectual horse power on the campus and the community is kind of a unintended big bonus. I wouldn't have seen that.

UPDATE ON THE EARLY RETIREMENT INCENTIVE PROGRAM (contd)

Dr. Ray:

If I could just add to that sentiment, Mr. Chairman, a third of the faculty who could have taken five-year buyouts, chose to stay at this University because they are very activity engaged and even with that kind of an inducement, found that their most attractive alternative was to stay an active part of Ohio State University.

Mr. Wexner:

That would be an interesting statistic to follow probably every five-years or every ten-years, just to make sure that we are doing as well or better. Very good. Thank you. Any other questions or comments? Thank you very much.

(See Appendix XXIII for background information on the 1994-95 ERI Program, page .)

Mr. Shumate:

Mr. Chairman, at this time on behalf of the Committee we would like to recommend the following resolutions to the full Board for approval:

MERGED DEGREE PROGRAM

Resolution No. 97-59

Synopsis: Establishment of a Ph.D. program in Veterinary Biosciences is proposed.

WHEREAS the Department of Veterinary Biosciences was formed during restructuring by combining the former departments of Veterinary Anatomy and Cellular Biology, Veterinary Pathobiology, and Veterinary Physiology and Pharmacology; and

WHEREAS three Ph.D. programs, each named for one of the former departments now combined, currently exist in the Department of Veterinary Biosciences; and

WHEREAS the academic programs and research foci of the three existing Ph.D. programs are grounded in a common core area, cellular and molecular biology; and

WHEREAS it therefore serves the causes both of academic enhancement and administrative efficiency to consolidate these three existing Ph.D. programs; and

WHEREAS this proposal was adopted by the Department in a properly deliberative and consultative process, and those faculty casting votes were unanimously in favor of the proposal; and

WHEREAS the Ph.D. in Veterinary Biosciences program has the approval of the Council on Research and Graduate Studies, the Council on Academic Affairs, and was approved by the University Senate at its October 26, 1996 meeting:

NOW THEREFORE

BE IT RESOLVED, That the University Senate approve the establishment of a Ph.D. Program in Veterinary Biosciences by consolidating existing programs.

NAMING OF LAND

Resolution No. 97-60

Synopsis: Naming the quadrant of land known as the Waterman Farm, located north of Lane Avenue between Kenny Road and North Star Road on the campus of The Ohio State University, is proposed.

WHEREAS upon the recommendation of the President and the President's Executive Committee it has been proposed that the quadrant of land known as the Waterman Farm located north of Lane Avenue between Kenny Road and North Star Road be named the "Waterman Agricultural and Natural Resources Laboratory" in reference to the activity conducted at the facility; and

WHEREAS a committee representing all of the academic units using the Waterman Farm facility have developed the proposed name; and

WHEREAS the proposed name better reflects the student-faculty teaching and research focus of the facility:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the aforementioned quadrant of land known as the Waterman Farm shall be named the "Waterman Agricultural and Natural Resources Laboratory."

NAMING OF BUILDING

Resolution No. 97-61

Synopsis: Naming of new turfgrass building, 2710 North Star Road, on the campus of The Ohio State University is proposed.

WHEREAS upon the recommendation of the President and the President's Executive Committee it has been proposed that the new turfgrass building at 2710 North Star Road in the Waterman Farm complex be named the "Ohio Turfgrass Foundation Research and Education Facility" in honor of the Foundation's generous support of research and educational efforts at The Ohio State University; and

WHEREAS a strong partnership between the Ohio Turfgrass Foundation and the Department of Horticulture and Crop Science and the College of Food, Agricultural, and Environmental Sciences has led to the construction of this new facility in the Waterman Farm complex to be used for turfgrass research and education; and

WHEREAS construction and landscaping of this facility was made possible through a generous contribution of nearly \$400,000 from the Ohio Turfgrass Foundation; and

WHEREAS one of the main purposes of the Foundation, a non-profit association of businesses and other entities whose interests focus on turfgrass, is the support of research and education at Ohio State to which it contributes annually:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the aforementioned new turfgrass building shall be named the "Ohio Turfgrass Foundation Research and Education Facility."

**APPOINTMENTS TO THE ENGINEERING EXPERIMENT STATION
ADVISORY COUNCIL**

Resolution No. 97-62

WHEREAS the Engineering Experiment Station is under the control of the Board of Trustees of The Ohio State University; and

WHEREAS according to statute 3335.27 of the Administrative Code, there shall be an advisory council made up of the director of the Engineering Experiment Station and six members appointed by the Board, chosen from the faculty of the College of Engineering; and

WHEREAS this Engineering Experiment Station Advisory Council "shall select suitable subjects for investigation, apportion the available funds, and with the consent of the Board may provide for the dissemination of the results to the people of the state;"

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of President E. Gordon Gee, pursuant to the request of Jose B. Cruz, Jr., Dean and Director, College of Engineering, Engineering Experiment Station, The Ohio State University Board of Trustees, acting in accordance with the requirements of the Ohio Revised Code Section 3335.27, hereby appoint the following individuals to serve on the Engineering Experiment Station Advisory Council:

Name	Title	Proposed Term
Donald R. Houser	Professor of Mechanical Engineering & Director, Center of Automotive Research	3 years (10/1/96 - 9/30/99)
Kevin M. Passino	Professor of Electrical Engineering	3 years (10/1/96 - 9/30/99)

HONORARY DEGREE

Resolution No. 97-63

Synopsis: The awarding of an honorary degree to E. J. Ariens is recommended for approval.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees awarding of an honorary degree as listed below:

E. J. Ariens	Doctor of Science
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NOW THEREFORE

BE IT RESOLVED, That the above honorary degree be awarded in accordance with the recommendation at a time convenient to the University and the recipient.

PERSONNEL ACTIONS

Resolution No. 97-64

RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the November 1, 1996 meeting of the Board, including the following Appointment, Appointment of Chairperson, Leaves of Absence Without Salary, and Professional Improvement Leaves, as detailed in the University Budget be approved and the Medical Staff Appointments (The James Cancer Hospital and Research Institute) approved November 19, 1996, by the James Cancer Hospital Board be ratified.

Appointment

Name: TONY TRIPODI
Title: Dean
College: Social Work
Effective: July 1, 1996 through June 30, 1999
Salary: \$115,164.00
Present Position: Acting Dean

Appointment of Chairperson

July 1, 1997 through June 30, 2000

Veterinary Preventive Medicine

Kent H. Hoblet

Leaves of Absence Without Salary

DEBORAH B. DOSS, Assistant Professor, School of Public Health, effective Winter Quarter 1997, for medical reasons.

GEETU MELWANI, Assistant Professor, New School formed by merger of Journalism & Communication, effective November 14, 1996, through December 31, 1996, for medical reasons.

Professional Improvement Leaves

GLENN S. DAEHN, Professor (The Dr. Mars G. Fontana Professorship in Metallurgical Engineering), Department of Materials Science and Engineering, effective Winter Quarter and Spring Quarter 1997.

KAMBIZ VAFAI, Professor, Department of Mechanical Engineering, effective Autumn Quarter 1997, Winter Quarter and Spring Quarter 1998.

Professional Improvement Leave--Cancellation

ELIZABETH G. MENAGHAN, Chair and Professor, Department of Sociology, effective Autumn Quarter 1996, Winter Quarter and Spring Quarter 1997.

SHELDON W. HALPERN, Professor, College of Law, Spring Semester 1996.

Medical Staff --Appointments (The Arthur G. James Cancer Hospital and Research Institute)

November 8, 1996 through May 7, 1997

Deborah Ann Stahl, M.D., Anesthesiology, Associate Attending
Anil Kumar Agarwal, M.D., Department of Medicine, Associate Attending Staff
Louis Michael Nardella, M.D., Department of Medicine, Associate Attending Staff
Steven E. Katz, M.D., Department of Ophthalmology, Associate Attending Staff

PERSONNEL ACTIONS (contd)

Medical Staff --Appointments (The Arthur G. James Cancer Hospital and Research Institute) (contd)

July 12, 1996 through June 30, 1997

David A. Wininger, M.D., Associate Attending, Department of Medicine (Infectious Diseases)

August 9, 1996 through June 30, 1997

Benita Jackson, M.D., Associate Attending, Department of Preventive Medicine

Judith A. Westman, M.D., Associate Attending, Department of Medicine (Hem/Onc)

Mr. Shumate:

I would like to ask if Dr. Tony Tripodi is here, if he would stand. We are delighted to have Dr. Tripodi as the recommendation for the new dean of the College of Social Work. Dr. Tripodi is nationally recognized and we encourage him to continue his efforts to develop collaborative activities with the Health Sciences in the areas of social and public policy.

We are pleased to recommend you to the full Board for approval this morning. Thank you.

RESOLUTIONS IN MEMORIAM

Resolution No. 97-65

Synopsis: Approval of three Resolutions in Memoriam.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey copies to the family of the deceased.

Carol Rhodeback Krumm

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on September 27, 1996, of Carol R. Krumm, Assistant Professor Emeritus in the University Libraries.

Carol Krumm was born June 17, 1923 in Pataskala, Ohio. She received her B.A. from Capital University, Columbus, Ohio in 1945, and her degree in library science from Western Reserve University, Cleveland, Ohio in 1946. In college she was a member of DPA, National German Honorary, Kappa Alpha Pi Honor Society, and Capital University Local Honorary.

Professor Krumm worked in the library field for 47 years, beginning as a student assistant at Capital University Library. Her first professional library position was at Otterbein College Library from 1946 to 1951. In 1952 she began her work in the Catalog Department, The Ohio State University Libraries. From 1953 to 1957 she was the Indexer of The Ohio State University Monthly. In 1957-1958 she was a serials cataloger, Cleveland Public Library. Ms. Krumm returned to Ohio State in 1959 where she completed her 30 years in the Catalog Department, retiring in December 1988.

In addition to her primary responsibilities of General Cataloger and Coordinator of the Cataloging of OSU Dissertations and Theses, Professor Krumm was very active in numerous professional organizations. Among these organizations were the American Library Association, Academic Library Association of Ohio, Ohio Valley Group of Technical Service Librarians, Ohio Library Association, Columbus Area Library and Information Council of Ohio, Franklin County Library Association, and Church and Synagogue Library Association. Besides attending meetings she served on numerous committees and held various offices. As a founding member of the Academic Library Association of Ohio, she was an officer for the first four years and editor of its newsletter.

RESOLUTIONS IN MEMORIAM (contd)

Carol Rhodeback Krumm (contd)

Ms. Krumm was for many years a member of The Ohio State University Faculty Women's Club and served on its Executive Board. Every year Professor Krumm served on one or more Library committees and task forces, and usually chairing one or more. She published in Technical Services Quarterly and in a monographic supplement to Serials Librarian. Her Index to The Ohio State University Monthly, volumes 1-41, 1909-1950 is a very valuable reference source. In addition to her work at OSU she taught for four years, "Library of Congress Classification" for the Library Media Technology Program at Ohio University, Lancaster. In Autumn 1987 she taught "Cataloging" for Kent State University, School of Library and Information Science.

Ms. Krumm's list of community service was extensive and varied. She was a member of Administrative Board of Church of the Master United Methodist Church and served in numerous leadership and teaching roles in United Methodist Churches in Columbus. She was co-leader of seminar tours to Europe in 1980 and the Middle East in May 1979, May 1972 and October 1972. She was a member of New Century (Literary) Club, Westerville, Ohio and Westerville (Ohio) Historical Society--serving as a curator of the Hanby House, Westerville.

On behalf of the University, the Board of Trustees expresses to her family its deep sympathy and sense of understanding in their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to the family as an expression of the Board's heartfelt sympathy.

Robert C. Ries

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 6, 1996, of Robert C. Ries, Assistant Director Emeritus in the Department of Athletics.

A native of Wadsworth, Ohio, he graduated from The Ohio State University with a degree in Journalism in March 1942, and joined the Navy the following month where he served in the Pacific until his discharge on March 1, 1946.

Mr. Ries served as a sports writer with the Canton Repository until joining the Department of Athletics as Assistant Sports Publicity Director in September 1946. During his time in the Sports Publicity department he earned an additional degree from Ohio State in Marketing. He moved to the Ticket Office as Assistant Director in 1949 and became Director of Ticket Sales in 1970. He retired with 38 years of service in 1985.

Mr. Ries was an avid and loyal supporter of The Ohio State University and its athletic programs. His four children all earned degrees from Ohio State. He continued to be a loyal contributor and booster after his retirement, serving as an announcer at Ohio State swimming meets and attending many sporting events. He received the Varsity "O" Loyalty Award and honorary membership in the OSU Marching Band for his unflagging support and dedication to the University.

On behalf of the University, the Board of Trustees expresses to the family of Robert C. Ries its deep sympathy and sense of understanding in their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to the family as an expression of the Board's heartfelt sympathy.

Allan K. Wildman

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 31, 1996, of Allan K. Wildman, Professor in the Department of History.

RESOLUTIONS IN MEMORIAM (contd)

Allan K. Wildman (contd)

Professor Wildman was born in Wooster, Ohio in 1927. He received his B.A. from the University of Michigan in 1950, his Bachelor of Divinity from the University of Chicago in 1953, and his Ph.D. from the University of Chicago in 1962. After teaching for sixteen years at the State University of New York at Stony Brook, he joined the faculty at The Ohio State University in 1978. Professor Wildman served as Director of the Center for Slavic and East European Studies from 1992 to 1995.

Professor Wildman was a leading scholar in the area of Russian history of the nineteenth and twentieth centuries. His major monographs, The Making of a Workers' Revolution (1967) and the prize-winning The End of the Russian Imperial Army (vol. I, 1980; vol. II, 1987), as well as his many articles helped to shape the intellectual development of his field. In recognition of his scholarly achievement, Professor Wildman received a University Distinguished Research Award in 1992.

From 1988 until his death, Professor Wildman served as Editor of The Russian Review, the premier scholarly journal in his field. In this capacity, he received the Ohioana Library Association Award for Editorial Excellence in 1995.

Professor Wildman's students and colleagues remember him as an encouraging and inspiring mentor who generously shared his time and wisdom. He was best known for his courses in Russian social and intellectual history, and in historiography. He trained graduate students in a wide variety of areas of Russian and East European studies.

On behalf of the University, the Board of Trustees expresses to the family of Professor Wildman its deep sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to the family as an expression of the Board's heartfelt sympathy.

Upon motion of Mr. Shumate, seconded by Mr. Patterson, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Wexner, Shumate, Celeste, Skestos, Brennan, and Patterson, and Ms. Longaberger Kaido.

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RESEARCH FOUNDATION REPORT

Mr. Celeste:

I would like to call on Ed Hayes for the report.

Dr. Edward Hayes:

Information on new awards for October can be found in the Board book under the Research Foundation Tab. Total awards for FY '97 through October 31, 1996, are \$67.7 million or 6.1 percent above the same period in the previous fiscal year. You have at your place the handout entitled, "Monthly Highlights" which we hope that you will take and read at your pleasure.

I want to call your attention to the figure on page one that displays the running 12-month total for awards and expenditures. We are pleased that both awards and expenditures are up and we are optimistic that they will continue to grow. One of the significant points is that the funding in the first four months from the federal government is up about 21 percent and we hope that will continue.

There are four projects that are highlighted that I would just mention briefly. The first one is the Eisenhower National Clearinghouse Award of \$5.3 million from the U.S. Department of Education for a project on better teaching through electronic

RESEARCH FOUNDATION REPORT (contd)

Dr. Hayes: (contd)

resources. The Eisenhower National Clearinghouse for Mathematics and Science Education provides teachers in grades K-12 with essential source of information on mathematics and science curriculum materials. The Clearinghouse was established at Ohio State in 1992, and some of you may have seen the live demonstration of the Eisenhower project resources at the President's brunch prior to the Penn State football game victory.

There is also a card here in case you want a handy reference for when you are surfing the net that you can use. I invite you to check their resources, I think that you will find them quite fascinating as many of our teachers both here in Ohio and around the country are finding the Eisenhower resource a very important source of information on new teaching methods.

The second project is developing the technology to use Ohio coal in an environmentally acceptable efficient method. The third project is a project by Professor Barbara Andersen from the Department of Psychology. She received a \$500,000 award from the National Institutes on Mental Health for a study on the adjustment process for breast cancer survivors.

The fourth project to Professor John Bossler from the Center for Mapping. His project received \$300,000 from the Litton Systems to study the development of a fully digital data acquisition system for large scale mapping and other precision positioning applications. The two newsfeatures has to do with Legionnaires disease and osteoporosis, and I would recommend them to your attention.

At this time, it would be appropriate for a motion to approve the research agreement between The Ohio State University and The Ohio State University Research Foundation for the projects listed in the tab.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 97-66

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for October 1996 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of October 1996 be approved.

Upon motion of Mr. Shumate, seconded by Mr. Celeste, the Board of Trustees adopted the foregoing resolution by unanimous voice vote.

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FISCAL AFFAIRS COMMITTEE REPORT

Mr. Skestos:

Mr. Chairman, our Committee met earlier today and we heard several reports on: 1) the Debt Reduction, Quarterly Budget, and Higher Education Funding Commission from Mr. Shkurti; 2) Development Funding, from Mr. Shkurti and Mr. May; 3) Charitable Trust Issue, from Mr. May; 4) the Monthly Endowment and New Format for Monthly Endowment, and Approval of Investment Managers, from Mr. Nichols; and 5) the Authorization for 1997 Small Capital Improvement Projects, and Award of Contracts, from Ms. Pichette.

At this time, I have five resolutions that I would like to present to the Board for their approval:

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS

Resolution No. 97-67

July - September, 1996

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University, adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, provides that the President and/or the Vice President for Business and Administration may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists, or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Vice President for Business and Administration has submitted a report on waivers of competitive bidding requirements granted for the period of July - September 1996; and

WHEREAS during the period covered, the Vice President for Business and Administration, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 85 waivers of competitive bidding requirements for annual purchases totaling approximately \$10,039,800.00 including approximately \$4,878,800 for blood products for the University Hospitals, as shown on the enclosed exhibit:

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of July - September 1996, is hereby accepted.

(See Appendix XXIV for chart on Waivers of Competitive Bidding Requirements, page 475.)

AUTHORIZATION FOR 1997 SMALL CAPITAL IMPROVEMENT PROJECTS

Resolution No. 97-68

Synopsis: Acceptance of the listing of anticipated 1997 capital improvement projects, each estimated at \$1,000,000 or less, and authorization for the University to proceed with these projects, with subsequent reporting to the Board's Fiscal Affairs and Investments Committee are proposed.

WHEREAS consistent with the fiduciary duty of the Board of Trustees regarding project oversight and approval, the delegation of authority to proceed with projects specified in the attached list is designed to expedite the University's ability to move forward with capital projects on a timely basis and ensure the most effective use of University resources; and

AUTHORIZATION FOR 1997 SMALL CAPITAL IMPROVEMENT PROJECTS (contd)

WHEREAS the Board has received the attached listing of capital improvement projects estimated at a total project cost of \$1,000,000 or less each that are anticipated and/or needed to be undertaken during 1997, subject to scheduling and funding availability; and

WHEREAS the President has recommended that the University be permitted to undertake, in accordance with established procedures, those listed capital improvement projects without additional Board authorization of each such project:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Administration be authorized, without any additional authorization or approval from this Board being necessary, to select qualified architectural and/or engineering firms as necessary, with the fees for these services to be negotiated between the firms selected and the University, and to request construction bids in accordance with established University procedures, and if satisfactory bids are received, to award contracts for any capital improvement project on the attached 1997 listing of projects estimated at \$1,000,000 or less; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Administration shall submit reports as appropriate to the Fiscal Affairs and Investments Committee for any such project undertaken pursuant to this authorization in which the total actual project cost exceeds \$1,000,000 and shall present to the Board an annual report on capital improvement projects completed, under construction, or in planning or design during 1997 and a written report to the Fiscal Affairs and Investments Committee of this Board on all contracts awarded pursuant to this authorization.

(See Appendix XXV for Anticipated 1997 Capital Improvement Projects, page 477.)

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS**

Resolution No. 97-69

HASKETT/HOPKINS RENOVATION

Synopsis: Acceptance of the report of award of contracts and establishment of contingency funds for the Haskett/Hopkins Renovation project is requested.

WHEREAS a resolution adopted by the Board of Trustees May 3, 1996 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established State of Ohio and University procedures, and if satisfactory bids were received to award contracts for the following project:

Haskett/Hopkins Renovation

This project renovates space to house photography and printmaking and provides additional studios and laboratories. The total project cost is \$1,119,500; funding is provided by House Bill 790. The estimated completion date is June 1997. The contracts awarded are as follows:

(* Recommended alternates included in these amounts)

General Contract:	C & N Construction, Columbus, Ohio
Amount:	\$326,400 *
Estimate:	\$330,955 *

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Haskett/Hopkins Renovation (contd)

Plumbing Contract:	Radico, Inc., Columbus, Ohio
Amount:	\$66,200 *
Estimate:	\$91,000 *
HVAC Contract:	C. R. Legner, Columbus, Ohio
Amount:	\$249,000 *
Estimate:	\$278,610 *
Electric Contract:	Knight Electric, Worthington, Ohio
Amount:	\$189,517 *
Estimate:	\$234,250 *
Asbestos Abatement Contract:	Environmental Controls, Cincinnati, Ohio
Amount:	\$17,350
Estimate:	\$22,500
Total All Contracts:	\$848,467 *
Contingency Allowance:	\$83,081
Total Project Cost:	\$1,119,500

NOW THEREFORE

BE IT RESOLVED, That pursuant to the actions previously authorized by the Board, the report of award of contracts and establishment of contingency funds for Haskett/Hopkins Renovation project is hereby accepted.

(See Appendix XXVI for map, page 483.)

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS**

Resolution No. 97-70

(* Recommended alternates included in these amounts)

Kottman Hall Mechanical Improvements

This project replaces the poor quality dampers on the air handling system and install a new 500-ton chiller to provide chilled water to Kottman and Howlett Halls' chilled water loop. The total project cost is \$416,550; funding is provided by House Bill 904. The completion date is November 1996. The contracts awarded are as follows:

HVAC Contract:	Radico, Inc., Columbus, Ohio
Amount:	\$313,600
Estimate:	\$377,300
Electric Contract:	Knight Electric, Gahanna, Ohio
Amount:	\$25,497
Estimate:	\$32,700
Total All Contracts:	\$339,097
Contingency Allowance:	\$35,112
Total Project Cost:	\$416,550

Energy Control Modifications Phase II

This project continues the process of converting HVAC control functions from pneumatic to direct digital control in Bolz, Caldwell, Hitchcock, Journalism, New Chemistry, Biological Sciences, and Parks Halls. The total project cost is \$499,730; funding is provided by House Bill 904 (\$440,000) and

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Energy Control Modifications Phase II (contd)

Department of Physical Facilities (\$59,730). The completion date is January 1997. The contract awarded is as follows:

Electric Contract:	Knight Electric, Worthington, Ohio
Amount:	\$439,322 *
Estimate:	\$463,943 *
Total All Contracts:	\$439,322 *
Contingency Allowance:	\$23,468
Total Project Cost:	\$499,730

ATI - Miscellaneous Projects

This project renovates administrative offices, Halterman Hall research laboratories, and repairs livestock barns at the Apple Creek Laboratory farm. The total project cost is \$352,330; funding is provided by House Bill 904. The completion date is November 1996. The contracts awarded are as follows:

General Contract:	W. G. Fairfield Co., Canton, Ohio
Amount:	\$120,289 *
Estimate:	\$112,000 *
Plumbing Contract:	Mohawk Mechanical, Lexington, Ohio
Amount:	\$13,942
Estimate:	\$15,860
HVAC Contract:	Temptek Systems, Wooster, Ohio
Amount:	\$7,000
Estimate:	\$7,700
Electric Contract:	Abbott Electric, Canton, Ohio
Amount:	\$22,187 *
Estimate:	\$16,060 *
Total All Contracts:	\$163,418 *
Contingency Allowance:	\$21,200
Total Project Cost:	\$352,330 *

Lima Campus - Cook Hall Roof

This project replaces the deteriorated roof on Cook Hall. The total project cost is \$248,981; funding is provided by House Bill 904. The completion date is July 1996. The contract awarded is as follows:

General Contract:	Wolfe Roofing, Oregon, Ohio
Amount:	\$174,000
Estimate:	\$215,000
Total All Contracts:	\$174,000
Contingency Allowance:	\$56,491
Total Project Cost:	\$248,981

Bricker Hall Elevator Replacement

This project replaces the northwest elevator to service all floors of the building and to meet ADA requirements. The total project cost is \$233,770; funding is provided by House Bill 904 (\$150,000) and Department of Physical Facilities (\$83,770). The completion date is November 1996. The contracts awarded are as follows:

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Bricker Hall Elevator Replacement (contd)

General Contract:	C & N Construction, Columbus, Ohio
Amount:	\$180,800
Estimate:	\$165,000
Electric Contract:	Knight Electric, Gahanna, Ohio
Amount:	\$16,293
Estimate:	\$31,000
Total All Contracts:	\$197,093
Contingency Allowance:	\$20,910
Total Project Cost:	\$233,770

Utilities High Voltage Electric

The project increases the current capacity and modifies the duct banks at the Buckeye Substation and McCracken Power Plant. The total project cost is \$295,020; funding is provided by House Bill 904. The completion date is January 1997. The contract awarded is as follows:

Electric Contract:	Jess Howard Electric, Blacklick, Ohio
Amount:	\$249,000
Estimate:	\$390,550
Total All Contracts:	\$249,000
Contingency Allowance:	\$26,100
Total Project Cost:	\$295,020

Ramseyer Hall Lab Renovation

This project provides general renovation to rooms 14, 16, 22, and 24 in Ramseyer Hall. The total project cost is \$315,000; funding is provided by House Bill 904 (\$300,000) and College of Education (\$15,000). The completion date is December 1996. The contracts awarded are as follows:

General Contract:	Gutknecht Construction, Columbus, Ohio
Amount:	\$121,378 *
Estimate:	\$125,845 *
HVAC Contract:	General Temperature Control, Canal Winchester, Ohio
Amount:	\$52,500
Estimate:	\$58,660
Electric Contract:	Romanoff Electric, Columbus, Ohio
Amount:	\$85,865
Estimate:	\$59,940
Total All Contracts:	\$259,743
Contingency Allowance:	\$30,160
Total Project Cost:	\$315,000

Cancer Research Institute HVAC Modifications

This project modifications to the HVAC system to improve conditioned air delivery for the health and comfort of patients. The total project cost is \$882,000; funding is provided by the James Cancer Hospital and Research Institute. The completion date is March 1997. The contracts awarded are as follows:

HVAC Contract:	General Temperature Control, Grove City, Ohio
Amount:	\$500,000
Estimate:	\$541,000

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Cancer Research Institute HVAC Modifications (contd)

Electric Contract:	Knight Electric, Gahanna, Ohio
Amount:	\$45,992
Estimate:	\$69,000
Total All Contracts:	\$545,992
Contingency Allowance:	\$123,381
Total Project Cost:	\$882,000

Wiseman Hall - Floor Renovation

This project renovates deteriorated floor coverings and concrete surfaces on the first floor space utilized by Laboratory Animal Resources. The total project cost is \$67,765; funding is provided by House Bill 904. The completion date is April 1996. The contract awarded is as follows:

General Contract:	Wilson Floors, Columbus, Ohio
Amount:	\$38,852
Estimate:	\$61,000
Total All Contracts:	\$38,852
Contingency Allowance:	\$25,805
Total Project Cost:	\$67,765

Dulles Hall Roof Replacement

This project replaces the built-up roofing and repair coping, flashing, and lighting arrestor system. The total project cost is \$92,920; funding is provided by House Bill 790. The completion date is July 1996. The contract awarded is as follows:

General Contract:	K & W Roofing, Pataskala, Ohio
Amount:	\$77,330
Estimate:	\$73,400
Total All Contracts:	\$77,330
Contingency Allowance:	\$7,350
Total Project Cost:	\$92,920

Rhodes Hall Brick Repair, Phase II

This project repairs the exterior brick which has cracked, moved, and in some cases, delaminated. The total project cost is \$150,000; funding is provided by University Hospitals. The completion date is July 1996. The contract awarded is as follows:

General Contract:	H. K. Phillips, Columbus, Ohio
Amount:	\$112,638
Estimate:	\$287,000
Total All Contracts:	\$112,638
Contingency Allowance:	\$32,362
Total Project Cost:	\$150,000

930 Kinnear Road, Phase III

This project renovates the building by bringing it to Ohio Building Code and ADA requirements, for use by the College of Engineering's Center for Automotive Research. The total project cost is \$448,300; funding is provided by House Bill 904. The completion date is December 1996. The contracts awarded are as follows:

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

930 Kinnear Road, Phase III

General Contract:	Gutknecht Construction, Columbus, Ohio
Amount:	\$140,000 *
Estimate:	\$163,150 *
Plumbing Contract:	J. A. Croson, Columbus, Ohio
Amount:	\$41,796 *
Estimate:	\$60,000 *
HVAC Contract:	General Temperature, Canal Winchester, Ohio
Amount:	\$69,500
Estimate:	\$77,000
Electric Contract:	Brush Contractors, Grove City, Ohio
Amount:	\$122,900
Estimate:	\$124,000
Total All Contracts:	\$374,196 *
Contingency Allowance:	\$31,412
Total Project Cost:	\$448,300

OARDC Pesticide Storage and Disposal Buildings - Northwest Branch

This is one phase of the four-phase project that will provide pesticide storage and disposal facilities at the Northwest, Southern, Western and Wooster Branches to meet the requirements of the Ohio EPA. The total project cost for the Northwest Branch is \$234,000; funding is provided by House Bill 790. The completion date is December 1996. The contracts awarded are as follows:

General Contract :	Mel Lanzer, Napoleon, Ohio
Amount:	\$149,685 *
Estimate:	\$170,550 *
Plumbing Contract :	Warner Mechanical, Fremont, Ohio
Amount:	\$31,299 * +
Estimate:	\$28,530
Electric Contract :	T.A.S. Inc., Owensville, Ohio
Amount:	\$24,900
Estimate:	\$27,833
Total All Contracts:	\$205,884 *
Contingency Allowance:	\$12,848
Total Project Cost:	\$234,000

+ The Plumbing Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

OARDC Pesticide Storage and Disposal Buildings - Southern Branch

This is one phase of the four-phase project that will provide pesticide storage and disposal facilities at the Northwest, Southern, Western and Wooster Branches to meet the requirements of the Ohio EPA. The total project cost for the Southern Branch is \$208,000; funding is provided by House Bill 790. The completion date is December, 1996. The contracts awarded are as follows:

General Contract:	Custom Steel Builders, Portsmouth, Ohio
Amount:	\$132,260
Estimate:	\$138,000
Plumbing Contract:	Kattine Heating and Plumbing, Gerogetown, Ohio
Amount:	\$27,280
Estimate:	\$29,230

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

OARDC Pesticide Storage and Disposal Buildings - Southern Branch (contd)

Electric Contract:	Owensville Electric, Owensville, Ohio
Amount:	\$21,332
Estimate:	\$26,920
Total All Contracts:	\$180,872
Contingency Allowance:	\$12,235
Total Project Cost:	\$208,000

Behavioral Science Lab and Stadium Rehabilitation

This project renovates space in the Behavioral Science Lab and Stadium and upgrades the mechanical system serving this area. The total project cost is \$454,758; funding is provided by House Bill 790. The completion date is December 1996. The contracts awarded are as follows:

General Contract:	Maddox Building Systems, Dayton, Ohio
Amount:	\$141,394
Estimate:	\$200,000
Plumbing Contract:	J. A. Guy, Dublin, Ohio
Amount:	\$16,900
Estimate:	\$20,000
HVAC Contract:	J. A. Guy, Dublin, Ohio
Amount:	\$123,700
Estimate:	\$130,000
Electric Contract:	Knight Electric, Worthington, Ohio
Amount:	\$88,910 +
Estimate:	\$85,000
Total All Contracts:	\$370,904
Contingency Allowance:	\$38,290
Total Project Cost:	\$454,758

+ The Electric Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Postle Lecture Halls

This project renovates and upgrades lecture halls in Postle Hall. The total project cost is \$450,000; funding is provided by House Bill 790. The completion date is September 1996. The contracts awarded are as follows:

General Contract:	Righter Company, Columbus, Ohio
Amount:	\$103,908 *
Estimate:	\$144,520 *
Electric Contract:	Jess Howard Electric, Blacklick, Ohio
Amount:	\$227,900
Estimate:	\$285,480
Total All Contracts:	\$331,808 *
Contingency Allowance:	\$80,373
Total Project Cost:	\$450,000

Dreese Laboratory Auditorium Renovation

This project renovates room 113 of Dreese Laboratory to modernize and enhance the teaching and learning environment of the lecture hall, including audio/visual capabilities and conformance to ADA requirements. The total project cost is \$347,576; funding is provided by House Bill 808. The completion date is September 1996. The contracts awarded are as follows:

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Dreese Laboratory Auditorium Renovation (contd)

General Contract:	Field and Associates, Springfield, Ohio
Amount:	\$135,056 *
Estimate:	\$230,200 *
Electric Contract:	Romanoff Electric, Columbus, Ohio
Amount:	\$92,700 +
Estimate:	\$87,300
Asbestos Abatement Contract:	Buckeye Environmental, Cincinnati, Ohio
Amount:	\$28,466
Estimate:	\$56,000
Total All Contracts:	\$256,222 *
Contingency Allowance:	\$35,335
Total Project Cost:	\$347,576

+ The Electric Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Mansfield Campus - Board of Trustees Conference Room

This project renovates space in room 113 of Eisenhower Memorial Center for use as a conference and board room for campus executive committee meetings, OSU and NCTC administration meetings, interactive teleconferencing, small conferences or meetings, and the monthly meetings of the Mansfield Board of Trustees. The total project cost is \$60,000; funding is provided by House Bill 790. The completion date is November 1996. The contract awarded is as follows:

General Contract:	Stanley Miller Construction, East Sparta, Ohio
Amount:	\$36,078
Estimate:	\$40,000
Total All Contracts:	\$36,078
Contingency Allowance:	\$5,407
Total Project Cost:	\$60,000

Carmack Road Overlay

This project reconstructs the failed roadway base and resurfaces the road from the north end to Kenny Road. The total project cost is \$500,000; funding is provided by House Bill 790. The completion date is August 1997. The contracts awarded are as follows:

General Contract:	Gaddis & Sons/Decker Construction (AJV), Columbus, Ohio
Amount:	\$298,600
Estimate:	\$363,750
Electric Contract:	McDaniels Construction, Columbus, Ohio
Amount:	\$147,400 +
Estimate:	\$100,000
Total All Contracts:	\$446,000
Contingency Allowance:	\$24,910
Total Project Cost:	\$500,000

+ The Electric Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Newark Campus - Founders Chiller Replacement

This project replaces the outdated chiller serving Founders Hall. The total project cost is \$134,750; funding is provided by House Bill 790. The completion date is April 1997. The contract awarded is as follows:

HVAC Contract:	General Temperature Control, Canal Winchester, Ohio
Amount:	\$116,500 *
Estimate:	\$124,750 *
Total All Contracts:	\$116,500 *
Contingency Allowance:	\$7,023
Total Project Cost	\$134,750

Utilities Upgrade - Lighting Retrofit

This project will retrofit light fixtures in Watts Hall, Evans Lab, and Smith Lab with energy efficient tubes and electronic ballasts. The total project cost is \$547,002; funding is provided by House Bill 790 (\$500,000) and Department of Physical Facilities (\$47,002). The completion date is April 1997. The contract awarded is as follows:

Electric Contract:	Knight Electric, Worthington, Ohio
Amount:	\$503,241 *
Estimate:	\$542,230 *
Total All Contracts:	\$503,241 *
Contingency Allowance:	\$25,162
Total Project Cost:	\$547,002

OSHA Ventilation - Smith Lab

This project will upgrade fume hoods to address ventilation problems in various scientific laboratories. The total project cost is \$378,717; funding is provided by House Bill 790 (\$340,247) and Department of Physical Facilities (\$38,470). The completion date is March 1997. The contracts awarded are as follows:

Mechanical Contract:	J. A. Guy, Dublin, Ohio
Amount:	\$265,700 *
Estimate:	\$392,580 *
Electric Contract:	Knigh Electric, Worthington, Ohio
Amount:	\$39,454 * +
Estimate:	\$13,730 *
Total All Contracts:	\$305,154 *
Contingency Allowance:	\$30,516
Total Project Cost:	\$378,717

+ The Electric Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Larkins Hall - Locker/Shower Area Renovation

This project replaces plumbing and fixtures in all major shower areas, improve ventilation, re-grout and seal all exposed ceramic tile areas, and refurbish or replace all hardware and lockers. The total project cost is \$261,150; funding is provided by House Bill 808 (\$219,581), House Bill 810 (\$30,419) and House Bill 904 (\$11,150). The completion date is November 1996. The contracts awarded are as follows:

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Larkins Hall - Locker/Shower Area Renovation (contd)

General Contract:	C & N Construction, Columbus, Ohio
Amount:	\$205,880 *
Estimate:	\$224,000 *
Asbestos Abatement Contract:	Buckeye Asbestos, Cincinnati, Ohio
Amount:	\$18,022
Estimate:	\$40,000
Total All Contracts:	\$223,902 *
Contingency Allowance:	\$20,309
Total Project Cost:	\$261,150

Biotechnology Support Facility - Heat/Electric

This project will install back-up heating and electrical systems in the Biotechnology Support Facility. The total project cost is \$234,077; funding is provided by Board of Regents Action Grant. The completion date is March 1997. The contracts awarded are as follows:

HVAC Contract:	General Temperature Control, Canal Winchester, Ohio
Amount:	\$76,500 +
Estimate:	\$61,300
Electric Contract:	Affordable Choice, Columbus, Ohio
Amount:	\$122,678
Estimate:	\$167,000
Total All Contracts:	\$199,178
Contingency Allowance:	\$15,934
Total Project Cost:	\$234,077

+ The HVAC Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

OARDC - Compost/Biomass Utilization Research

This project provides a new insulated masonry block building to support research in composting for waste disposal and biomass combustion. Total project cost is \$713,000; funding is provided by House Bill 904 (\$460,000) and House Bill 790 (\$253,000). The completion date is March 1997. The contracts awarded are as follows:

General Contract:	Ben Imhoff, Inc., Orrville, Ohio
Amount:	\$368,380 *
Estimate:	\$368,530 *
Plumbing Contract:	Schmid Plumbing, Wooster, Ohio
Amount:	\$36,940
Estimate:	\$42,750
HVAC Contract:	Schmid Plumbing, Wooster, Ohio
Amount:	\$57,195 +
Estimate:	\$55,400
Electric Contract:	Abbott Electric, Canton, Ohio
Amount:	\$64,000
Estimate:	\$93,320
Total All Contracts:	\$526,515
Contingency Allowance:	\$27,180
Total Project Cost:	\$713,000

+ The HVAC Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Taylor Tower/Curl Drive Mallway

This project renovates, including landscaping, new pavement, walls, planting, park benches, bike racks, and general lighting. The total project cost is \$240,845; funding is provided by House Bill 808 (\$230,360) and Housing, Food Service, and Events Center (\$10,485). The completion date is December 1996. The contracts awarded are as follows:

General Contract:	Windgate Construction, Herminie, Pennsylvania
Amount:	\$177,572 *
Estimate:	\$181,500 *
Electric Contract:	Electric Power Equipment, Columbus, Ohio
Amount:	\$32,935 +
Estimate:	\$14,583
Total All Contracts:	\$240,845
Contingency Allowance:	\$14,583
Total Project Cost:	\$240,845

+ The Electric Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Classroom Improvements 1995-96

This project provides HVAC and other upgrades for various lecture halls and classrooms in Stillman and Ives Halls. The total project cost is \$233,705; funding is provided by House Bill 790. The completion date is November 1996. The contracts awarded are as follows:

General Contract:	Waller and Lund, Columbus, Ohio
Amount:	\$83,360 *
Estimate:	\$143,150 *
HVAC Contract:	General Temperature Control, Canal Winchester, Ohio
Amount:	\$76,750
Estimate:	\$122,800
Electric Contract:	Brush Electrical, Grove City, Ohio
Amount:	\$24,200
Estimate:	\$34,065
Total All Contracts:	\$184,310 *
Contingency Allowance:	\$19,630
Total Project Cost:	\$233,705

Lima Campus - Reed Hall Auditorium Renovation

This project replaces the 25-year old lighting and sound system and renovates the auditorium lobby. The total project cost is \$93,960; funding is provided by Senate Bill 351. The completion date is November 1996. The contract awarded is as follows:

Electric Contract:	Eberhart, Inc., Lima, Ohio
Amount:	\$81,115 * +
Estimate:	\$77,892 *
Total All Contracts:	\$81,115 *
Contingency Allowance:	\$5,254
Total Project Cost:	\$93,960

+ The Electric Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Lima Campus - Cook Hall Library Renovation

This project renovates Cook Hall by replacing the existing finish flooring and cooling tower and upgrades the library entrance, security system, and lighting system. The total project cost is \$114,410; funding is provided by Senate Bill 351. The completion date is January 1997. The contracts awarded are as follows:

General Contract:	T & D Interiors, Lima, Ohio
Amount:	\$73,020 *
Estimate:	\$106,000 *
HVAC Contract:	Schumm Plumbing & Heating, Van Wert, Ohio
Amount:	\$21,302 *
Estimate:	\$33,500
Total All Contracts:	\$94,322 *
Contingency Allowance:	\$8,745
Total Project Cost:	\$114,410

OARDC Pesticide Storage and Western Branch Swine

This project provides pesticide storage and disposal facilities to meet Ohio EPA requirements and replaces roofs, improves environmental control system, and modifies barns at the Western Branch facility. The total project cost is \$472,500; funding is provided by House Bill 790 (\$347,500) and House Bill 904 (\$125,000). The completion date is June 1997. The contracts awarded are as follows:

General Contract:	Maddox Building Systems, Dayton, Ohio
Amount:	\$314,000 +
Estimate:	\$296,600
Plumbing Contract:	Lincoln Mandeville/J. A. Croson (AJV), Columbus, Ohio
Amount:	\$68,129 +
Estimate:	\$42,465
Electric Contract:	Taylor Electric, Bellefontaine, Ohio
Amount:	\$28,350
Estimate:	\$34,900
Total All Contracts:	\$410,479
Contingency Allowance:	\$40,845
Total Project Cost:	\$472,500

+ The General and Plumbing Contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Goss Lab - Steam Sterilizer

This project replaces the two steam sterilizers which are 28 years old for improved research reliability. The total project cost is \$407,000; funding is provided by House Bill 904. The completion date is March 1997. The contract awarded is as follows:

General Contract:	Miles McClellan, Columbus, Ohio
Amount:	\$297,252 +
Estimate:	\$285,650
Total All Contracts:	\$297,252
Contingency Allowance:	\$29,586
Total Project Cost:	\$407,000

+ The General Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Lima Campus - Campus Drive Renovation and Reed/Cook Loading Docks

This project replaces the existing deteriorated road surface and widens from single lane to two-lane entrance to the student parking lot and improves road drainage, rebuild road base, and re-pave access to Reed and Cook Halls loading docks. The project cost is \$186,195; funding is provided by House Bill 790 (\$123,412), House Bill 808 (\$33,054) and House Bill 904 (\$29,729). The completion date is November 1996. The contract awarded is as follows:

General Contract:	Blufton Paving, Blufton, Ohio
Amount:	\$152,487 *
Estimate:	\$161,330 *
Total All Contracts:	\$152,487
Contingency Allowance:	\$17,749
Total Project Cost:	\$186,195

Dulles Hall - Chilled Water Plant

This project adds an additional 300 tons of chiller capacity to Dulles Hall to meet the needs for chilled water service for Derby and Bricker Halls. The project cost is \$387,420; funding is provided by House Bill 808 (\$83,510), House Bill 810 (\$196,330) and House Bill 870 (\$107,580). The completion date is April 1997. The contracts awarded are as follows:

General Contract:	C & N Construction, Columbus, Ohio
Amount:	\$45,800
Estimate:	\$50,000
Mechanical Contract:	Radico, Inc., Columbus, Ohio
Amount:	\$252,200 *
Estimate:	\$292,000 *
Electric Contract:	Now Electric, Columbus, Ohio
Amount:	\$34,080 *
Estimate:	\$57,000 *
Total All Contracts:	\$332,080 *
Contingency Allowance:	\$33,209
Total Project Cost:	\$387,420

BE IT RESOLVED, That pursuant to the actions previously authorized by the Board of Trustees, the report of award of contracts and establishment of contingency funds for the projects listed is hereby accepted by the Fiscal Affairs and Investments Committee.

(See Appendix XXVII for maps, page 485.)

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 97-71

Synopsis: The report on the receipt of gifts and the summary for October 1996 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS the funding levels have been reached to establish The John H. and Mildred C. Lumley Chair in Medicine; and

REPORT ON UNIVERSITY DEVELOPMENT (contd)

WHEREAS this report includes the establishment of twelve (12) new named endowed funds and amendments to two (2) endowed fund:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of October 1996 be approved.

TOTAL UNIVERSITY PRIVATE SUPPORT

July-October
1995 Compared to 1996
GIFT RECEIPTS BY DONOR TYPE

	Dollars July through October		
	<u>1995</u>	<u>1996</u>	<u>% Change</u>
Individuals:			
Alumni (Current Giving)	\$ 6,541,767	\$ 3,678,985	-44
Alumni (From Bequests)	<u>1,053,717</u>	<u>7,358,052</u>	598
Alumni Total	\$ 7,595,484	\$11,037,037	45
Non-Alumni (Current Giving)	\$ 1,503,635	\$ 2,015,444	34
Non-Alumni (From Bequests)	<u>607,157</u>	<u>1,371,582</u>	126
Non-Alumni Total	\$ 2,110,792	\$ 3,387,026	60
Individual Total	\$ 9,706,276	\$14,424,063	49 ^A
Corporations/Corp. Foundations	\$ 8,571,145	\$ 7,353,263	-14 ^B
Private Foundations	\$ 1,802,984	\$ 1,993,600	11
Associations & Other Organizations	<u>\$ 769,976</u>	<u>\$ 799,179</u>	4
Total	\$20,850,381	\$24,570,105	18

NOTES

- A Individual giving is up 83% due to two estate gifts totaling more than \$8 million (one from an alumnus of \$7 million; one from a non-alumnus of \$1.2 million).
- B Corporate giving is down 14%. Last year there were 153 corporations providing private support of \$10,000 or more (\$6.8 million). This year private support at the \$10,000 level is \$5.4 million (158 corporations).

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-October (contd)

1995 Compared to 1996 (contd)

GIFT RECEIPTS BY PURPOSE

	Dollars July through October		
	<u>1995</u>	<u>1996</u>	<u>% Change</u>
Gift Receipts to Current Use & Endowment Funds:			
Buildings/Equipment	\$ 2,127,780	\$ 1,682,537	-21
Program Support	\$ 12,518,586	\$18,979,897	52
Student Financial Aid	\$ 3,126,497	\$ 1,795,134	-43
Annual Funds-Colleges/Departments	\$ 2,748,589	\$ 1,976,545	-28
Annual Funds-University	<u>\$ 328,929</u>	<u>\$ 135,992</u>	-59
Total	\$ 20,850,381	\$24,570,105	18

GIFT ADDITIONS TO ENDOWMENT

	Dollars July through October		
	<u>1995</u>	<u>1996</u>	<u>% Change</u>
	\$7,343,490	\$13,651,720	86

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Chair</u>			
The John H. and Mildred C. Lumley Chair in Medicine (Support of Chair in College of Medicine)	\$2,242,850.65		\$2,242,850.65
<u>Establishment of Named Endowed Funds</u>			
The Frank B. Kroeger Scholarship Fund (Scholarships - College of Engineering)		\$100,000.00	\$100,000.00
The Kathryn L. Hopwood Scholarship Fund (General University Scholarships for Women)		\$77,470.00	\$77,470.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Stella R. Jenks Education Scholarship Fund (Scholarships - College of Education)		\$33,404.00	\$33,404.00
The Katherine L. Hall Prize Fund (Prize for Outstanding Female in Junior Year - College of Humanities)		\$26,500.00	\$26,500.00
The Phyllis J. Bailey Endowment Fund (Program Support for Women in Athletics - Department of Athletics)		\$25,690.00	\$25,690.00
The Millard M. Cummins Weights and Scale Collection Support Fund (Support for Maintenance, Security and other Expenses Related to the Cummins Collection - College of Engineering)		\$25,000.00	\$25,000.00
The Alumni Club of Lee County, Florida Endowed Scholarship Fund (General University Merit Scholarships)	\$23,638.22		\$23,638.22
The Terry Hemeyer/Jack O. Evans Scholarship Fund (Scholarships - School of Music)	\$22,530.00		\$22,530.00
The Fields Faculty Award Fund (Faculty Awards - College of Dentistry)	\$20,634.38		\$20,634.38
The Cuyahoga County 4-H Endowment Fund (Support of Cuyahoga County 4-H)	\$15,236.67		\$15,236.67

Change in Description of Named Funds

Oral Beyl Kneisly Memorial Fund
The University School Endowment Fund

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Benua Foundation Undergraduate Scholarship Fund (Scholarships - Fisher College of Business)		\$150,000.00	\$150,000.00
The Helen H. Enlow Library Fund (Support for Library at Ohio Agricultural Research & Development Center)		\$25,000.00	\$25,000.00
Total	\$2,324,889.92	\$463,064.00	\$2,787,953.92

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED CHAIR

The John H. and Mildred C. Lumley Chair in Medicine

WHEREAS in accordance with the guidelines previously established by the Board of Trustees, The John H. and Mildred C. Lumley Medical Research Endowment Fund was established December 6, 1990 with gifts to The Ohio State University Development Fund from the estates of John H. Lumley (B.Cer.E. '27) and Mildred C. Lumley (B.S. Soc.Admin. '24) of Belleair, Florida; and

WHEREAS the funding has now reached the level required and the name and description are therefore revised:

NOW THEREFORE

BE IT RESOLVED, That The John H. and Mildred C. Lumley Medical Research Endowment Fund be changed to The John H. and Mildred C. Lumley Chair in Medicine. The annual income shall provide for a Chair position to support the advancement of medical knowledge through the facilities of the College of Medicine with a preference, in sequential order, for research of diseases of the heart, cancer and arthritis. The position shall be held by a nationally eminent faculty member as recommended by the Dean of the College of Medicine in consultation with the Vice President for Health Sciences. The activities of the endowed chair holder shall be reviewed no less than every five years by the Dean to determine compliance with the intent of the donor as well as the academic and research standards of the University.

\$2,242,850.65

ESTABLISHMENT OF NAMED FUNDS

The Frank B. Kroeger Scholarship Fund

The Frank B. Kroeger Scholarship Fund was established December 6, 1996, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from Dorothy H. Kroeger in memory of her late husband Frank B. Kroeger.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be awarded on an academic merit basis to deserving students in the College of Engineering. Each Frank B. Kroeger Scholar will be eligible to compete for renewed selection. The award recipients shall be chosen by the Dean of the College of Engineering in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Dean of the College of Engineering in order to carry out the desire of the donor.

\$100,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED FUNDS (contd)

The Kathryn L. Hopwood Scholarship Fund

The Kathryn L. Hopwood Scholarship Fund was established December 6, 1996, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from the estate of Kathryn L. Hopwood (Ph.D. '53), Columbus, Ohio and from gifts received from friends and colleagues of Dr. Hopwood. Kathryn L. Hopwood was former Associate Dean of Women at The Ohio State University and was Dean of Students Emeritus and Professor Emeritus at Hunter College.

A woman of keen intellect and vision, Dr. Hopwood was recognized for her innovative leadership to the student personnel profession in higher education and for her contributions to the role of women.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more scholarships in varying amounts to deserving undergraduate non-traditional mature women students, based on criteria established by the Columbus Mortar Board Alumni Club. The Columbus Mortar Board Alumni Club Scholarship Committee will review the record of qualified candidates and recommend recipients. The University Committee on Student Financial Aid will make the award decisions.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the University Honors Program or Student Affairs administrative officer and in consultation with the Columbus Mortar Board Alumni Club in order to carry out the desire of the donors.

\$77,470.00

The Stella R. Jenks Education Scholarship Fund

The Stella R. Jenks Education Scholarship Fund was established December 6, 1996, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from the estate of Stella R. Jenks (B.S.Ed. '35) of Santa Rosa, California.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide financial support to students in the College of Education. Selection of recipients shall be made by the Dean of the College of Education, in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donor.

\$33,404.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED FUNDS (contd)

The Katherine L. Hall Prize Fund

The Katherine L. Hall Prize Fund was established December 6, 1996, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Kermit L. Hall, Dean of the College of Humanities, and his wife, Phyllis A. Hall, to honor the memory of his mother, Katherine L. Hall, formerly a resident of Akron, Ohio.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide an annual prize to the outstanding female junior rank student majoring in a discipline within the College of Humanities. This prize is to be presented at the end of each Spring Quarter at the College of Humanities Baccalaureate ceremony or, should there be no Baccalaureate ceremony, an event of similar stature. Only one individual per year can receive the prize; it cannot be divided.

The minimum requirements to be considered for the Hall Prize include a minimum 3.4 cumulative G.P.A., demonstrated leadership, and a record of service inside and outside the university. A committee will be formed each year consisting of an Associate Dean of the College of Humanities, one department chairperson in the College of Humanities designated by the Dean of the College, the prior year's student winner of the Hall Prize, two persons external to the University designated by the Dean of the College, one of whom is to be a member of the College's Development Council. If the Development Council is not in existence, then such a person should be chosen from the College's Alumni Society. If the Alumni Society ceases to exist, then the individual should be an alumna(us) of the College of Humanities who is external to the College. The Committee will make a recommendation to the Dean of the College. The Dean will make the final selection of the recipient. If the College of Humanities should cease to exist, then the prize will be granted under similar terms by the chief academic officer of the unit to which the majority of formerly College of Humanities units are placed.

The Hall Prize will be in the amount of \$1,000 for the first four years it is given. All remainder earnings are to be returned to the principal of the fund during that period. For the fifth year, the amount of the Prize will be readjusted upward based on the increased size of the corpus. This practice will be repeated perpetually every four-year period, resulting in an increase in the amount of the award every five years.

It is the desire of the donors that this fund should benefit the University in perpetuity to the extent that it meets the intentions of the donors. Any change in the purpose and/or use of this fund must be done with the consent of the benefactors, Kermit L. Hall and Phyllis A. Hall, and their heirs and successors as provided in their last will and testament.

\$26,500.00

Phyllis J. Bailey Endowment Fund

The Phyllis J. Bailey Endowment Fund was established December 6, 1996, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from the friends and colleagues of Phyllis Bailey who wish to extend their appreciation and thanks for Phyllis J. Bailey's tireless devotion to nurturing and promoting women's athletics at The Ohio State University.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED FUNDS (contd)

Phyllis J. Bailey Endowment Fund (contd)

It was always the dream of Ms. Bailey to recognize the women who have contributed to the honor and fame of The Ohio State University in the field of Athletics. In 1993, her dream came true; procedures were adopted by a group of former women athletes known as the Varsity "O" Women's Alumni Association, for the induction of women into The Ohio State University Sports Hall of Fame. A charter class of women was inducted in September 1993.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income of the Phyllis J. Bailey Endowment Fund shall be used to fund the programs, activities and expenses necessary to promote women in athletics at The Ohio State University. Specifically, the annual income may be used to (1) supplement student-athlete grant-in-aid scholarship costs of women athletes. The recipients shall be chosen by the Director of Athletics, or his/her designee in consultation with the University Committee on Student Financial Aid, (2) to supplement expenses associated with the induction of women into The Ohio State University Sports Hall of Fame, (3) to conduct community symposiums to feature our most talented women athletes, and (4) to support any other projects that carry forward the mission of promoting women in athletics at The Ohio State University.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Director of Athletics, or his/her designee in order to carry out the desire of the donors.

\$25,690.00

The Millard M. Cummins Weights and Scale Collection Support Fund

The Millard M. Cummins Weights and Scale Collection Support Fund was established December 6, 1996, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from Millard M. Cummins (B.I.E. '61), of Columbus, Ohio.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall support the maintenance, security and other expenses related to the Department of Industrial, Welding and Systems Engineering's Cummins Collection, an historical aggregation of weights and scales. The Cummins Collection is named in honor of Mr. Millard M. Cummins who began the Collection with a generous gift of weights and scales. The Chairperson of the Department will be responsible for authorizing all expenditures.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donor.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED FUNDS (contd)

The Alumni Club of Lee County, Florida Endowed Scholarship Fund

The Alumni Club of Lee County, Florida Endowed Scholarship Fund was established December 6, 1996, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from The Lee County Alumni Club, and alumni and friends.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide academic merit scholarships to students graduating from area high schools with preference for students qualified under the criteria of the Alumni Scholars Program, interviewed, ranked and recommended by the Lee County Alumni Club. Final selection will be made by the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the Office of Student Financial Aid in order to carry out the desire of the donors.

\$23,638.22
(grandfathered)

Terry Hemeyer/Jack O. Evans Scholarship Fund

The Terry Hemeyer/Jack O. Evans Scholarship Fund was established December 6, 1996, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Terry Hemeyer (B.S. Music Education '60) in honor of Professor Emeritus Jack O. Evans.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be awarded to an incoming student in the School of Music who has demonstrated promising ability on a woodwind or brass instrument as well as academic accomplishment. The student shall also have chosen a major in music. Scholarship recipients will be selected by the Director of the School of Music in consultation with Jack O. Evans and the wind faculty, and in consultation with the University Committee on Student Financial Aid. If there are no candidates in any given year, the earnings are to be added to the principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then the income shall be used for purposes similar to those specified by the donor, as directed by the Board of Trustees of The Ohio State University in order to carry out the desire of the donor.

\$22,530.00
(Grandfathered)

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED FUNDS (contd)

The Fields Faculty Award Fund

The Fields Faculty Award Fund was established December 6, 1996, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Henry W. and Mildred F. Fields, and Henry W. and Anne M. Fields.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income is to be used for an annual award to be given to a faculty member in the College of Dentistry who has demonstrated excellence in both teaching and research during the previous year.

The awardee will be named by the Dean of the College of Dentistry, after appropriate consultation. If no worthy awardee is apparent, that year's annual endowment interest will be added to the principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donors.

\$20,634.38
(Grandfathered)

The Cuyahoga County 4-H Endowment Fund

The Cuyahoga County 4-H Endowment Fund was established December 6, 1996, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from the Cuyahoga County 4-H Advisory Committee and other friends of the Cuyahoga County 4-H Program.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the Cuyahoga County 4-H Program. This support may include, but is not limited to, the funding of scholarships and awards for 4-H members, volunteer leaders and friends of 4-H; sponsoring seminars and programs; and purchasing material or services that will supplement 4-H programming or increase the visibility and public support for 4-H in Cuyahoga County. All expenditures from this fund shall be approved by the Cuyahoga County 4-H Advisory Committee and the professional in charge of the Cuyahoga County 4-H Program.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then the income may be used for any purpose whatsoever, as determined by the Board of Trustees with preferences being given to the recommendations from the appropriate administrative official of the University who is the Director of Ohio State University Extension, in consultation with a committee representing the local 4-H donors.

\$15,236.67
(Grandfathered)

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

CHANGE IN DESCRIPTION OF NAMED FUNDS

Oral Beyl Kneisly Memorial Fund

The Oral Beyl Kneisly Memorial Fund was established October 12, 1966, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from a bequest by Besse Backus Kneisly, in memory of her husband, Oral Beyl Kneisly, D.D.S. The fund was revised December 6, 1996.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income is to be used to provide medallions, medals, or trophies to students graduating with honors. Any unused income can be used to provide research and travel funds for college-related business to a student(s). These awards are to be designated as the "Oral Beyl Kneisly Awards." The recipient(s) will be determined by the Associate Dean of Academic Affairs, representative of the Office of Student Financial Aid and the Dean of the College of Dentistry.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donor.

The University School Endowment Fund

The University School Endowment Fund was established March 12, 1993, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from alumni, faculty and friends of the University School. The fund was revised December 6, 1996.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for grants to fund programs and projects incorporating the democratic tenets of the Philosophy and Practices of the University School as defined and published by the School in 1948. These programs and projects shall also address the multiple pressures and problems of school children of the late 1990's and beyond. Grant recipients must be Ohio State University graduate students in any discipline who have had experience as or are currently practicing educators in the K-12 levels.

Specific criteria for selecting grant recipients shall include, but not be limited to: (1) the demonstrated commitment of the candidate to encourage realistic, contemporary, quality educational practices throughout the state and nation; (2) the potential of the candidate's proposed project to improve education in schools; (3) the potential of candidate's proposed project to involve communities with their schools; and (4) the potential of methods into the educational process that might stimulate other practicing educators. The selection of candidates shall be made by the Dean of the College of Education upon the recommendation of a committee of faculty members and a liaison of the Alumni Association of University School. Grants may be awarded for a second year provided the recipient reapplies and is selected by the dean and the faculty committee.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

CHANGE IN DESCRIPTION OF NAMED FUNDS (contd)

The University School Endowment Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Dean of the College of Education and a committee chosen from among the last ten grant recipients in order to carry out the desire of the donors.

THE OHIO STATE UNIVERSITY FOUNDATION

ESTABLISHMENT OF NAMED ENDOWED FUNDS

The Benua Foundation Undergraduate Scholarship Fund

The Benua Foundation Undergraduate Scholarship Fund was established December 6, 1996, by the Board of Trustees through funds received by the University from The Ohio State University Foundation, which has established an endowed fund with a gift from the Benua Foundation.

The annual income shall be used to award a scholarship(s) to a student(s) in the undergraduate program in the Fisher College of Business. Preference shall be given to students of merit with financial need who are members of Beta Gamma Sigma. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

\$150,000.00

Helen H. Enlow Library Fund

The Helen H. Enlow Library Fund was established December 6, 1996, by the Board of Trustees through funds received by the University from The Ohio State University Foundation, which has established an endowed fund with gifts from the estate of Helen H. Enlow, formerly a librarian at the Ohio Agricultural Research and Development Center in Wooster, Ohio.

The annual income shall support the library of the Ohio Agricultural Research and Development Center at Wooster, Ohio, for the purposes designated by the librarian.

\$25,000.00

APPROVAL OF INVESTMENT MANAGERS

Resolution No. 97-72

Synopsis: The Fiscal Affairs and Investments Committee recommends the approval of the Reappointment of Investment Managers.

WHEREAS it is the policy of The Ohio State University to utilize the services of external Investment Managers to assist in the management of the University's Endowment Fund; and

WHEREAS the Fiscal Affairs and Investments Committee of the Board of Trustees periodically reviews the results obtained by the external Investment Managers and the amount of funds assigned to each of them; and

APPROVAL OF INVESTMENT MANAGERS (contd)

WHEREAS it is prudent investment policy to adjust the mix and amounts of money assigned to external Investment Managers as economic conditions and performance change; and

WHEREAS the Fiscal Affairs and Investments Committee has also directed the Treasurer to maintain a University Managed Fixed Income fund which consists of GNMA'S, U.S. Treasury & Agency Securities; and

WHEREAS the number of external Investment Managers and the amount of funds assigned to them and the Treasurer shall be determined by the Board of Trustees:

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of the Fiscal Affairs and Investments Committee of the Board of Trustees the following named external Investment Managers and the Office of the Treasurer shall be approved to manage Endowment Funds as follows:

<u>Manager</u>	<u>Market Value as of 11/15/96</u>	<u>Changes</u>	<u>Revised Market Value</u>
Barclays EAFE (International Equity Index Fund)	\$24,564,281	\$10,000,000	\$34,564,281
Barclays Extended (Small Capitalization Equity Index Fund)	\$68,713,373	(1)	\$68,713,373
Barclays S&P 500 (Standard & Poors 500 Equity Index Fund)	\$143,478,693	(1)	\$143,478,693
The Chicago Corporation	\$78,095,021	(\$40,000,000)	\$38,095,021
R. Meeder and Associates, Inc. (Small Capitalization Equity Index Fund)	\$45,357,180	-0-	\$45,357,180
Nicholas-Applegate Capital Management Emerging Growth	\$31,644,420	-0-	\$31,644,420
Nicholas-Applegate Capital Management Mid Cap Growth	\$77,070,465	\$10,000,000	\$87,070,465
Nicholas-Applegate Capital Management Systematic - Small Cap	-0-	\$20,000,000	\$20,000,000
University Administered	\$1,656,868	(1)	\$1,656,868
University Students	\$11,383,307	-0-	\$11,383,307

(1) Securities and cash were transferred to Barclays index funds from University Administered Equities on October 31, 1996, as follows:

Barclays Extended	\$35,000,000
Barclays S&P 500	\$50,000,000

APPROVAL OF INVESTMENT MANAGERS (contd)

<u>Manager</u>	<u>Market Value as of 11/15/96</u>	<u>Changes</u>	<u>Revised Market Value</u>
<u>Fixed Income</u>			
Banc One Investment Advisors	\$10,115,716	-0-	\$10,115,716
Boatmen's Trust Company	\$49,027,830	-0-	\$49,027,830
The Common Fund	\$10,181,793	-0-	\$10,181,793
Holt, Smith, and Renk	\$2,986,065	-0-	\$2,986,065
Huntington Trust Company	\$9,856,552	-0-	\$9,856,552
WR Lazard and Company (Fixed Income Index Fund)	\$50,796,733	\$10,000,000	\$60,796,733
University Managed (GNMA's/U.S. Treasuries and Agencies)	\$7,939,846	-0-	\$7,939,846

Upon motion of Mr. Skestos, seconded by Mr. Celeste, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Wexner, Shumate, Celeste, Skestos, Brennan, and Patterson, and Ms. Longaberger Kaido.

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Thereupon the Board adjourned to meet Friday, February 7, 1997, at The Ohio State University Fawcett Center for Tomorrow, Columbus, Ohio.

Attest:

William J. Napier
Secretary

Leslie H. Wexner
Chairman